

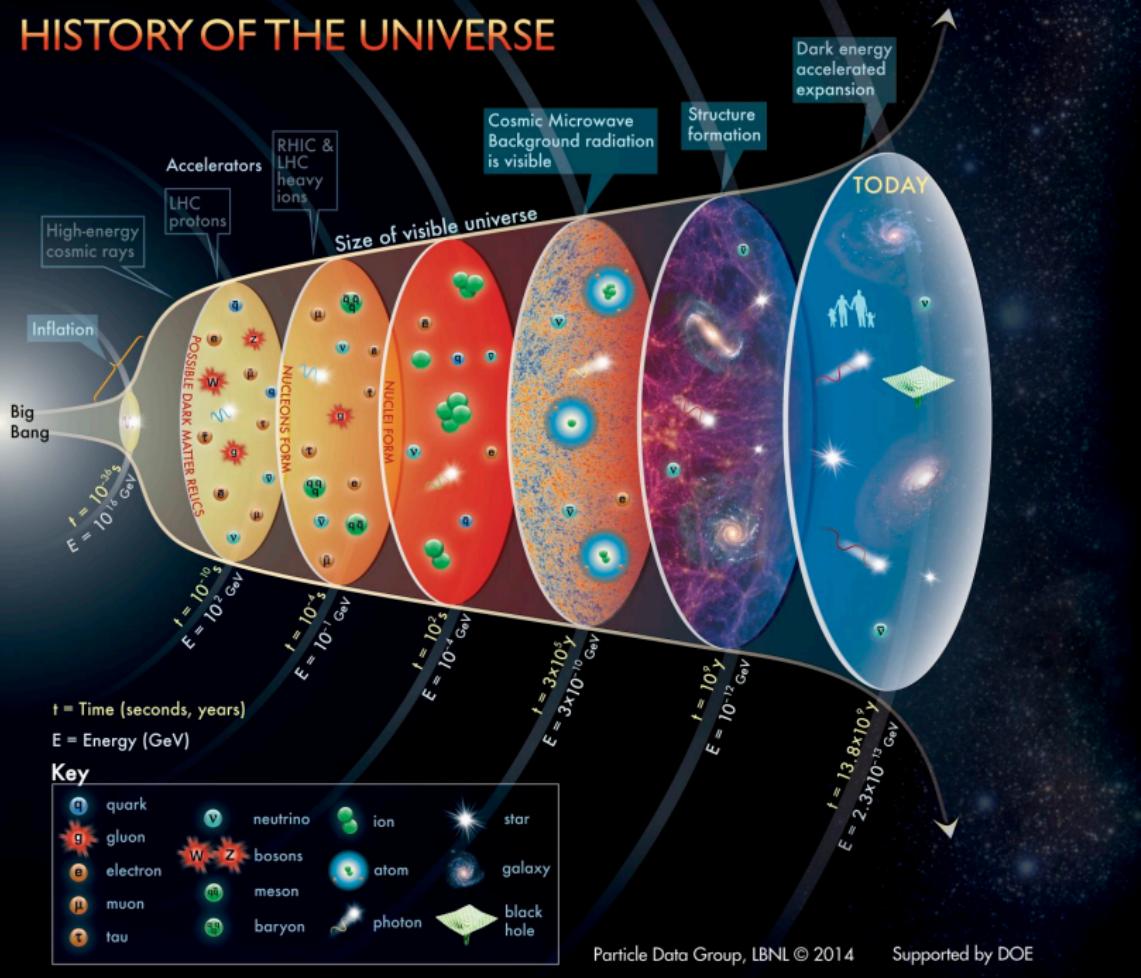
Mitglied der Helmholtz-Gemeinschaft

# Two lectures on Low-energy consequences of physics beyond the SM with special emphasis on electric dipole moments

## Some questions that will hopefully be answered:

- 1 Why is ~~CP~~ beyond the Standard Model expected?
- 2 How can a point-particle (e.g. an electron) support an EDM?
- 3 Why don't the EDMs of certain molecules predict a strong ~~CP~~?
- 4 What is the natural scale of a neutron EDM?
- 5 How large is the EDM window for *New Physics* searches?
- 6 How can the EDM-producing sources be discriminated?
- 7 Why is low-energy Effective Field Theory needed here?

# HISTORY OF THE UNIVERSE



# Matter Excess in the Universe

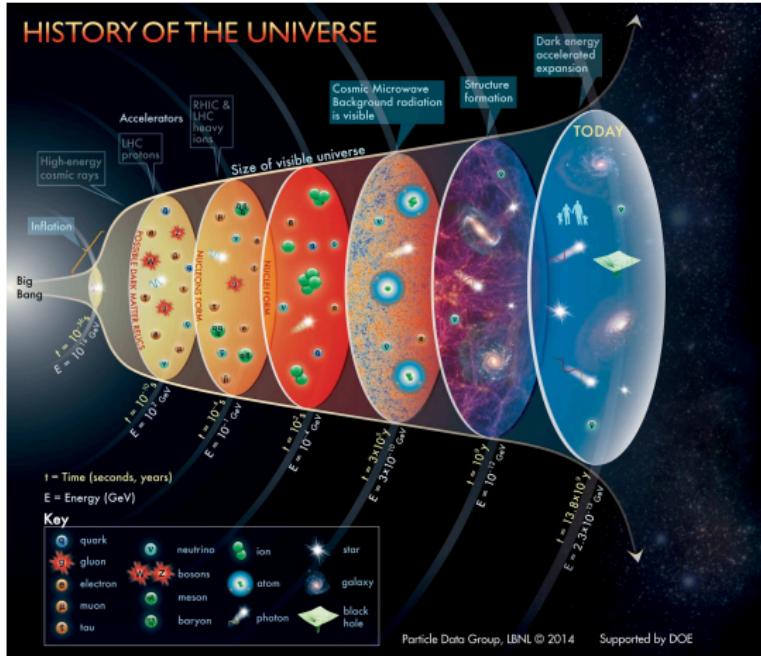


Fig. courtesy of PDG, LBNL ©2014

(\*)  $2J_{\text{Jarlskog}}^{\text{CKM}} (m_t^2 - m_u^2)(m_t^2 - m_c^2)(m_c^2 - m_u^2)(m_b^2 - m_d^2)(m_b^2 - m_s^2)(m_s^2 - m_d^2) \sim 10^{-18} M_{\text{EW}}^{12}$

► in the SM?

# CP violation and the Electric Dipole Moment (EDM)

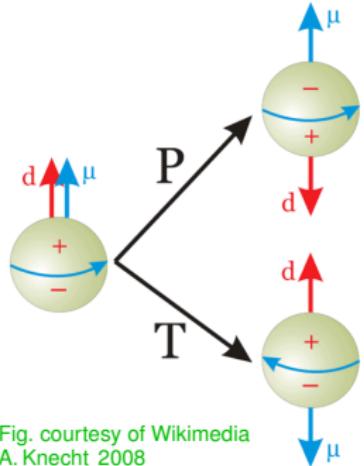


Fig. courtesy of Wikimedia  
A. Knecht 2008

$$\text{EDM: } \vec{d} = \sum_i \vec{r}_i e_i \xrightarrow[\text{(polar)}]{\substack{\text{subatomic} \\ \text{particles}}} d \cdot \vec{S}/|\vec{S}| \text{ (axial)}$$

$$\mathcal{H} = -\mu \frac{\vec{S}}{S} \cdot \vec{B} - d \frac{\vec{S}}{S} \cdot \vec{E}$$

$$P: \quad \mathcal{H} = -\mu \frac{\vec{S}}{S} \cdot \vec{B} + d \frac{\vec{S}}{S} \cdot \vec{E}$$

$$T: \quad \mathcal{H} = -\mu \frac{\vec{S}}{S} \cdot \vec{B} + d \frac{\vec{S}}{S} \cdot \vec{E}$$

Any *non-vanishing EDM* of a non-deg.  
(subatomic) particle violates **P & T**

- Assuming **CPT** to hold, **CP** is violated as well  
→ subatomic EDMs: “rear window” to CP violation in early universe
- Strongly suppressed in SM (CKM-matrix):  $|d_n| \sim 10^{-31} \text{ ecm}$ ,  $|d_e| \sim 10^{-38} \text{ ecm}$
- Current bounds:  $|d_n| < 3 \cdot 10^{-26} \text{ ecm}$ ,  $|d_p| < 8 \cdot 10^{-25} \text{ ecm}$ ,  $|d_e| < 1 \cdot 10^{-28} \text{ ecm}$   
*n*: Baker et al. (2006), *p* prediction: Dimitriev & Sen'kov (2003)\*, *e*: Baron et al. (2013)†

\* from  $|d_{^{199}\text{Hg}}| < 3.1 \cdot 10^{-29} \text{ ecm}$  bound of Griffith et al. (2009)

† from polar ThO:  $|d_{\text{ThO}}| \lesssim 10^{-21} \text{ ecm}$

Theorem: Permanent EDMs of *non-selfconjugate*\* particles *with* spin  $j \neq 0$

Let  $\langle j^P | \vec{d} | j^P \rangle = \textcolor{red}{d} \langle j^P | \vec{J} | j^P \rangle$  with  $\vec{d} \equiv \int \vec{r} \rho(\vec{r}) d^3r$  be an EDM operator in a **stationary state**  $|j^P\rangle$  of **definite parity**  $P$  and **nonzero** spin  $j$ , such that

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Werner Bernreuther (2012)

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'Isn't an **elementary** particle a **point-particle** without structure?  
 How can such a particle be polarized and support an EDM?'

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State  $|j^P\rangle$  can be 'elementary' particle (quark, charged lepton,  $W^\pm$  boson, Dirac neutrino, ...)

'Isn't an elementary particle a point-particle without structure?  
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There are always vacuum polarizations with rich short-distance structure

( $g-2$  of the electron and muon aren't exactly zero either)

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The ground states of these molecules at non-zero temperatures or strong  $E$ -fields are mixtures of at least 2 opposite parity states:

The theorem doesn't apply for *degenerate states*: neither  $\cancel{T}$  nor  $\cancel{P}$ !

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The induced EDM is **quadratic** in the electric field and **not**  $\cancel{P}$  or  $\cancel{\chi}$

induced EDM	$\longleftrightarrow$	quadratic Stark effect ( $\propto E^2$ )
permanent EDM	$\longleftrightarrow$	linear Stark effect ( $\propto E$ )

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If the interactions are described by an action which is

*local, Lorentz-invariant, and hermitian*

then CPT invariance holds: thus

$$\cancel{T} \iff \cancel{CP}$$

# A *naive* estimate of the scale of the nucleon EDM

Khriplovich & Lamoreaux (1997); Kolya Nikolaev (2012)

- CP & P conserving magnetic moment  $\sim$  nuclear magneton  $\mu_N$
- $$\mu_N = \frac{e}{2m_p} \sim 10^{-14} \text{ ecm}.$$
- A nonzero EDM requires

**parity P violation:** the price to pay is  $\sim 10^{-7}$

$$(G_F \cdot F_\pi^2 \sim 10^{-7} \text{ with } G_F \approx 1.166 \cdot 10^{-5} \text{ GeV}^{-2}),$$

and **CP violation:** the price to pay is  $\sim 10^{-3}$

$$(|\eta_{+-}| \equiv |\mathcal{A}(K_L^0 \rightarrow \pi^+ \pi^-)| / |\mathcal{A}(K_S^0 \rightarrow \pi^+ \pi^-)| = (2.232 \pm 0.011) \cdot 10^{-3}).$$

- In summary:  $|d_N| \sim 10^{-7} \times 10^{-3} \times \mu_N \sim 10^{-24} \text{ ecm}$
- In SM (without  $\theta$  term): extra  $G_F F_\pi^2$  factor to undo flavor change

$$\hookrightarrow |d_N^{\text{SM}}| \sim 10^{-7} \times 10^{-24} \text{ ecm} \sim 10^{-31} \text{ ecm}$$

$\hookrightarrow$  The empirical window for search of physics BSM( $\theta=0$ ) is

$$10^{-24} \text{ ecm} > |d_N| > 10^{-30} \text{ ecm.}$$

# Chronology of upper bounds on the neutron EDM

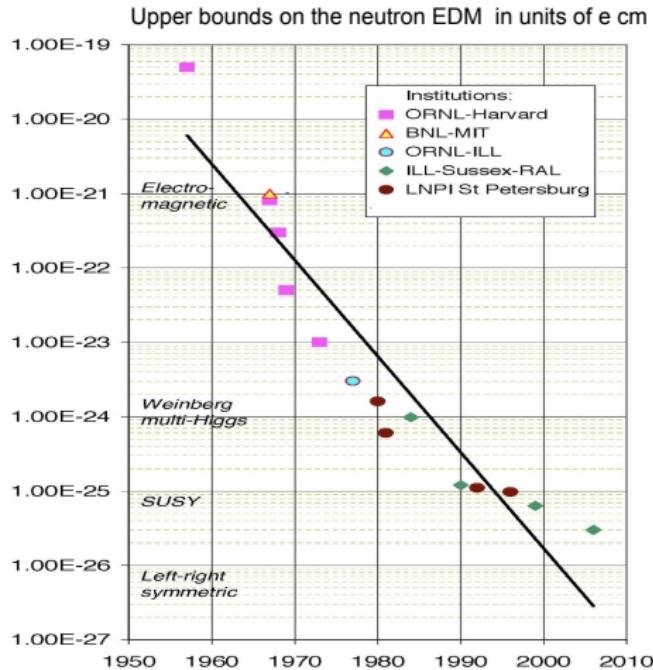


Fig. courtesy of N.N. Nikolaev

Smith, Purcell, Ramsey (1957) ..... Baker et al. (2006)

→ 5 to 6 orders above SM predictions which are out of reach !

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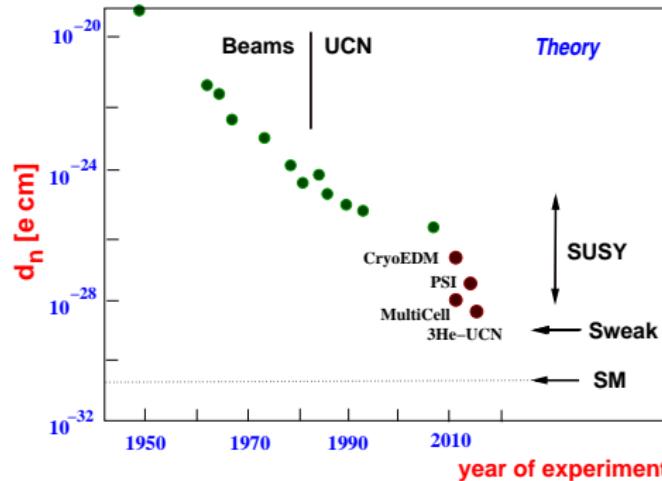
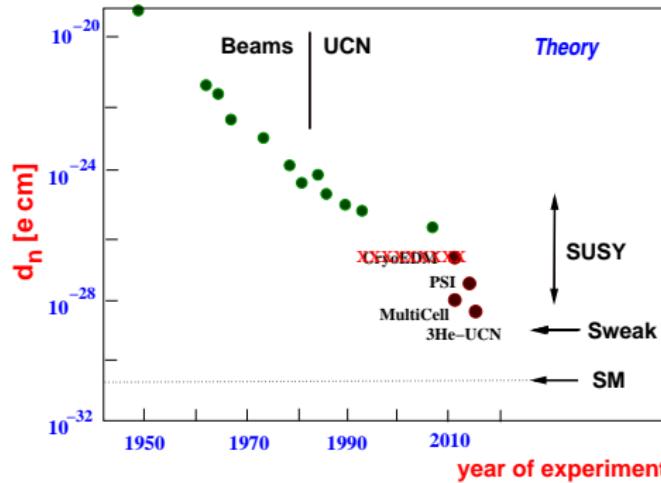


Fig. courtesy of U.-G. Meißner

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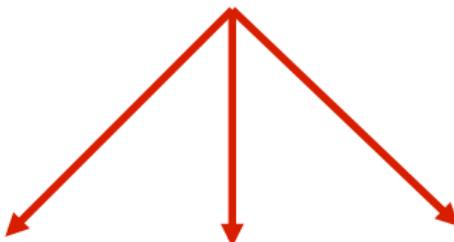


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## Three motivations for EDM searches

Why are EDMs  
interesting to measure?



A search for  
new physics which is  
*'background free'*

Many beyond-the-  
SM models predict  
large EDMs:  
*Complementary to  
LHC search*

Matter/Antimatter  
asymmetry  
requires more CPV:  
*EDMs are excellent  
probes*

courtesy of J. de Vries

## EDM bounds from neutral particles

- Modern neutron EDM experiments at ILL, SNS, PSI, TRIUMF
 

current	$d_n = (0.2 \pm 1.5(\text{stat.}) \pm 0.7(\text{sys.})) \cdot 10^{-26} \text{ ecm}$
	Baker et al. <i>PRL</i> '06 (ILL)
proposed	$\sim 10^{-28} \text{ ecm}$
- Proton (and neutron) EDM inferred from diamagnetic atoms
 

current	$ d(^{199}\text{Hg})  < 3.1 \cdot 10^{-29} \text{ ecm}$ (95% C.L.)
	Griffith et al. <i>PRL</i> '09 (UW)
→	$ d_p  < 7.9 \cdot 10^{-25} \text{ ecm}$

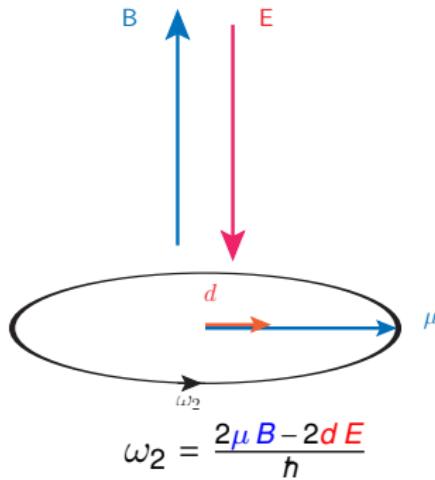
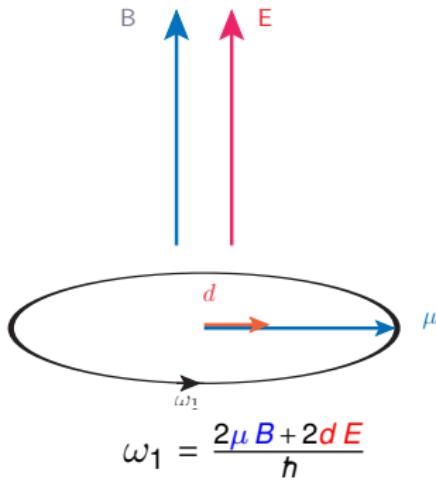
Theory input from: Dimitriev & Sen'kov *PRL* '03

ongoing experiments on Ra, Rn, Xe, ...
- Electron EDM inferred from paramagnetic atoms or molecules:
 

current	$ d_e  < 8.7 \cdot 10^{-29} \text{ ecm}$ (90% C.L.)
	from polar ThO
	Baron et al. <i>Science</i> '14 (ACME)

# EDM measurement of neutral particles in a nutshell

ground state with  $s = 1/2$ :

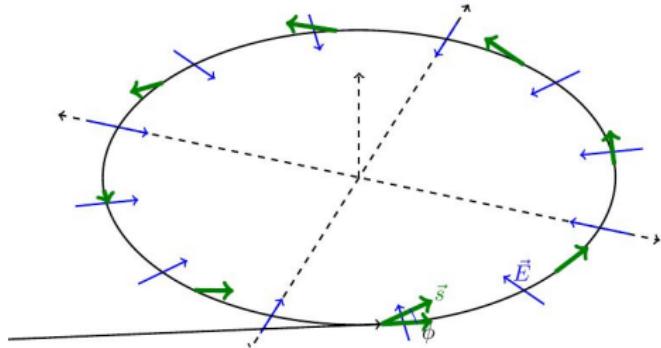


$$\omega_1 - \omega_2 = \frac{4d E}{\hbar}$$

# Direct EDM searches with charged particles in storage rings

General idea:

Farley et al. *PRL* '04



Initially **longitudinally** polarized particles interact with **radial  $\vec{E}$**  field  
 ↳ **build-up of vertical polarization** (measured with a polarimeter)

Limit on muon EDM:  $d_\mu < 1.8 \cdot 10^{-19} \text{ e cm}$  (95 % C. L.)      Bennett et al. (BNL g-2) *PRL* '09:

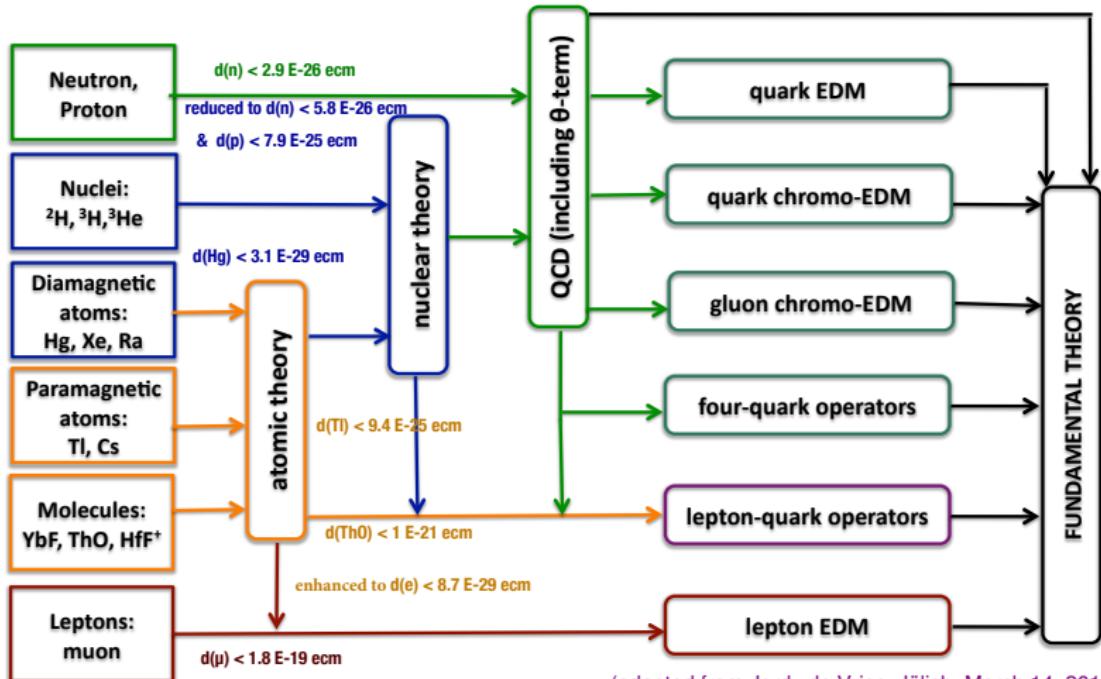
Proposed storage ring experiments ( $\sim 10^{-29} \text{ e cm}$ ):

- Counter-circling proton ring at Brookhaven (srEDM) or Fermilab (Project X) ?
- All-purpose ring for proton, deuteron (and helion) in Jülich (JEDI) ?
- ↳ Precursor experiment ( $\gtrsim 10^{-24} \text{ e cm}$ ) for  $p$  or  $D$  at COSY@Jülich !

# Road map from EDM Measurements to EDM Sources

Experimentalist's point of view →

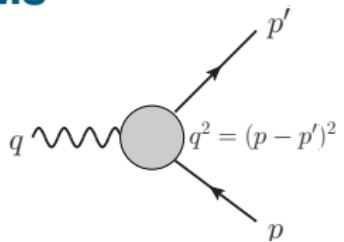
← Theorist's point of view



(adapted from Jordy de Vries, Jülich, March 14, 2013)

## Calculation: from form factors to EDMs

$$\langle f(p') | J_{\text{em}}^\mu | f(p) \rangle = \bar{u}_f(p') \Gamma^\mu(q^2) u_f(p)$$



$$\Gamma^\mu(q^2) = \gamma^\mu F_1(q^2) - i\sigma^{\mu\nu} q_\nu \frac{F_2(q^2)}{2m_f} + \sigma^{\mu\nu} q_\nu \gamma_5 \frac{F_3(q^2)}{2m_f} + (\not{q} q^\mu - q^2 \gamma^\mu) \gamma_5 \frac{F_a(q^2)}{m_f^2}$$

Dirac FF

Pauli FF

electric dipole FF ( $\mathcal{Q}\mathcal{P}$ )

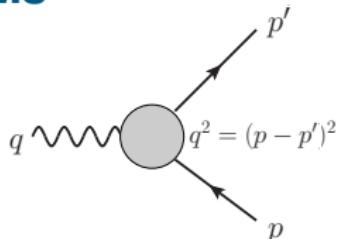
anapole FF ( $\mathcal{P}'$ )

$$\hookrightarrow d_f := \lim_{q^2 \rightarrow 0} \frac{F_3(q^2)}{2m_f} \quad \text{for } s = 1/2 \text{ fermion}$$



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Dirac FF

Pauli FF

electric dipole FF ( $\mathcal{Q}\mathcal{P}$ )

anapole FF ( $\mathcal{P}'$ )

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Nucleus A

$$\langle \uparrow | j_{PT}^0(q) | \uparrow \rangle \text{ in Breit frame}$$

$$\begin{aligned}
 & \langle \uparrow | j_{PT}^0(q) | \uparrow \rangle = \langle \uparrow | J_{PT}^{\text{total}} | \uparrow \rangle = \langle \uparrow | J_{PT} | \uparrow \rangle + \langle \uparrow | V_{PT} | \uparrow \rangle = -iq^3 \frac{F_A^A(\vec{q}^2)}{2m_A} \hookrightarrow d_A
 \end{aligned}$$

# CP violation in the Standard Model

The conventional source: Kobayashi-Maskawa mechanism

Empirical facts: 3 generations of  $u/d$  quarks (&  $e/\nu$  leptons)

- $u:$   $m_u < m_c < m_t$ ,  $d:$   $m_d < m_s < m_b$ , and  $l:$   $m_e < m_\mu < m_\tau$
- quarks & leptons in **mass basis**  $\neq$  quarks & leptons in **weak-int. basis**
- $\mathcal{L}_{SM} = \mathcal{L}_{\text{gauge}} + \mathcal{L}_{\text{gauge-fermion}} + \mathcal{L}_{\text{gauge-Higgs}} + \mathcal{L}_{\text{Higgs-fermion}}$  is CP inv.,
  - with the exception of the  $\theta$  term of QCD (see later)
  - and the **charged-weak-current** interaction ( $\subset \mathcal{L}_{\text{gauge-fermion}}$ )

$$\mathcal{L}_{\text{C-W-C}} = -\frac{g_w}{\sqrt{2}} \sum_{ij=1}^3 \bar{d}_{Li} \gamma^\mu V_{ij} u_{Lj} W_\mu^- - \frac{g_w}{\sqrt{2}} \sum_{ij=1}^3 \bar{\ell}_{Li} \gamma^\mu U_{ij} \nu_{Lj} W_\mu^- + \text{h.c.}$$

- $V:$   $3 \times 3$  unitary quark-mixing matrix  
 ▶ (Cabibbo-Kobayashi-Maskawa m.)

3 angles + 1 CP phase  $\delta_{KM}$

- $U:$   $3 \times 3$  unitary lepton-mixing matrix  
 (Maki-Nakagawa-Sakata matrix)

3 angles + 1(3) CP phase(s) for Dirac (Majorana)  $\nu_i$ 's

$\mathcal{CP}$  and EDMs and in the SM with  $J_{\text{KM}} = \text{Im}(V_{tb} V_{td}^* V_{cd} V_{cb}^*) \simeq 3 \cdot 10^{-5}$

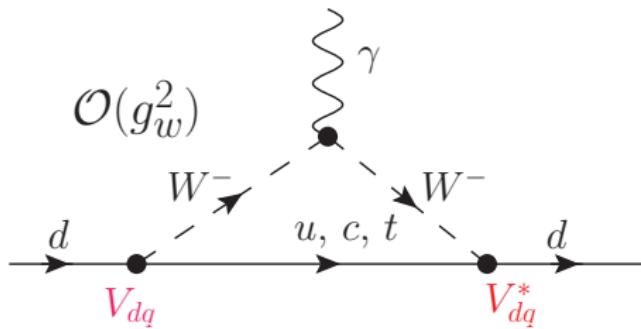
$$\propto \left( \frac{m_t^2 - m_c^2}{M_{EW}^2} \right) \left( \frac{m_c^2 - m_u^2}{M_{EW}^2} \right) \left( \frac{m_t^2 - m_u^2}{M_{EW}^2} \right) \cdot \left( \frac{m_b^2 - m_s^2}{M_{EW}^2} \right) \left( \frac{m_s^2 - m_d^2}{M_{EW}^2} \right) \left( \frac{m_b^2 - m_d^2}{M_{EW}^2} \right) \cdot J_{\text{KM}} \simeq 10^{-15} J_{\text{KM}},$$

Jarlskog *PRL* '85

↪  $(n_B - n_{\bar{B}})/n_\gamma|_{T \sim 20 \text{ MeV}}^{\text{SM}} \sim 10^{-20}$  and  $d_n^{\text{SM}} \sim 10^{-20} \cdot 10^{-14} \text{ e cm} \sim 10^{-34} \text{ e cm}$

EDM flavor-neutral  $\Rightarrow$  KM predictions tiny:  $\mathcal{O}(G_F^2) \sim \mathcal{O}(g_W^4)$

1 loop:



↪  $\mathcal{CP}$  phase  $\delta_{\text{KM}}$  cancels  $\rightarrow$  prefactor real  $\Rightarrow$   $d_q^{\text{1-loop}} = 0$

$\text{CP}$  and EDMs and in the SM with  $J_{\text{KM}} = \text{Im}(V_{tb} V_{td}^* V_{cd} V_{cb}^*) \simeq 3 \cdot 10^{-5}$

$$\propto \left( \frac{m_t^2 - m_c^2}{M_{EW}^2} \right) \left( \frac{m_c^2 - m_u^2}{M_{EW}^2} \right) \left( \frac{m_t^2 - m_u^2}{M_{EW}^2} \right) \cdot \left( \frac{m_b^2 - m_s^2}{M_{EW}^2} \right) \left( \frac{m_s^2 - m_d^2}{M_{EW}^2} \right) \left( \frac{m_b^2 - m_d^2}{M_{EW}^2} \right) \cdot J_{\text{KM}} \simeq 10^{-15} J_{\text{KM}},$$

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**2 loops:**

$$d_{\text{quark}}^{\text{2-loop}} = d_{\text{chromo q}}^{\text{2-loop}} = 0$$

Shabalin *Sov.J.NP* '78

$\text{CP}$  and EDMs and in the SM with  $J_{\text{KM}} = \text{Im}(V_{tb} V_{td}^* V_{cd} V_{cb}^*) \simeq 3 \cdot 10^{-5}$

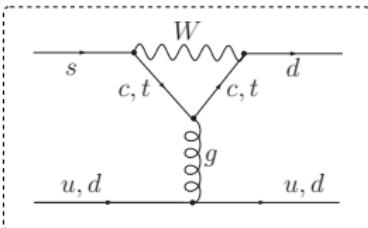
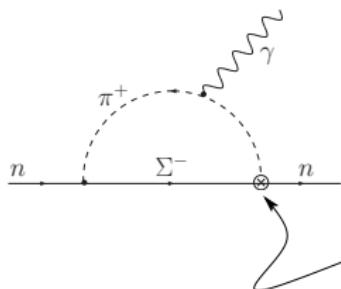
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Jarlskog *PRL* '85

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however:



$$\mathcal{O}(g_W^4 g_s^2)$$

$d_n^{\text{KM}} \simeq 10^{-32} \text{ e cm}$  because of long-range pion & 'strong penguin'

Gavela; Khriplovich & Zhitnitsky ('82)

$\text{CP}$  and EDMs and in the SM with  $J_{\text{KM}} = \text{Im}(V_{tb} V_{td}^* V_{cd} V_{cb}^*) \simeq 3 \cdot 10^{-5}$

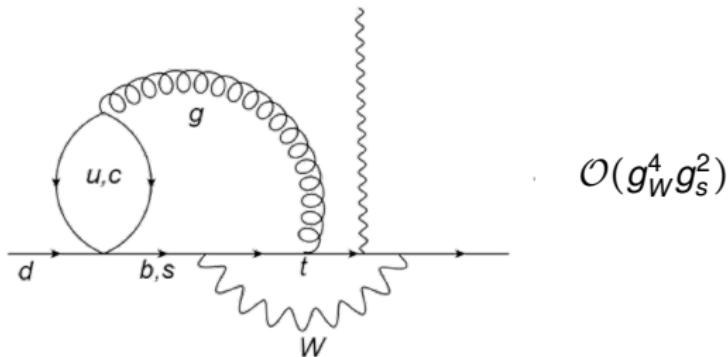
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at  $\geq 3$  loops:



$$d_n^{\text{KM}} \simeq 10^{-34} \dots 10^{-31} \text{ e cm} \quad (d_e^{\text{KM}} \sim 10^{-38} \dots 10^{-40} \text{ e cm} \text{ since 4 loops & } \mathcal{O}(g_W^6))$$

Khriplovich (1986); Czarnecki & Krause ('97) (Khriplovich & Pospelov (1992))

Mitglied der Helmholtz-Gemeinschaft

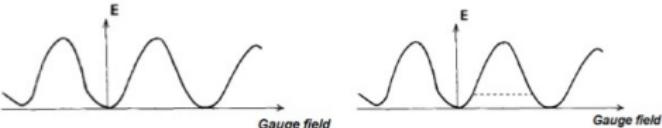
# Two lectures on Low-energy consequences of physics beyond the SM with special emphasis on electric dipole moments

## Some questions that hopefully are or will be answered:

- 1 Why is ~~CP~~ beyond the Standard Model expected?
- 2 How can a point-particle (e.g. an electron) support an EDM?
- 3 Why don't the EDMs of certain molecules predict a strong ~~CP~~?
- 4 What is the natural scale of a neutron EDM?
- 5 How large is the EDM window for *New Physics* searches?
- 6 How can the EDM-producing sources be discriminated?
- 7 Why is low-energy Effective Field Theory needed there?
- 8 Why deuteron and helion EDM measurements are essential?

## EDM sources: QCD $\theta$ -term of the SM

The topologically non-trivial vacuum structure of QCD



- induces a direct  $P \& T \sim CP$  interaction with a new parameter  $\theta$ :

$$\mathcal{L}_{QCD} = \mathcal{L}_{QCD}^{CP} - \theta \frac{g_s^2}{64\pi^2} \epsilon^{\mu\nu\rho\sigma} G_{\mu\nu}^a G_{\rho\sigma}^a \quad (\text{note: } \epsilon^{0123} = -\epsilon_{0123} \text{ & dim = 4})$$

- Anomalous  $U_A(1)$  quark-rotations induce mixing with ‘mass’ term

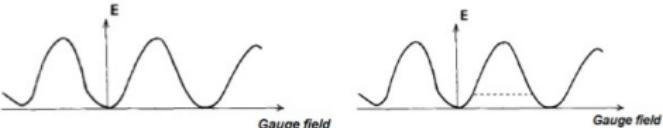
$$-\theta \frac{g_s^2}{64\pi^2} \epsilon^{\mu\nu\rho\sigma} G_{\mu\nu}^a G_{\rho\sigma}^a \xrightarrow{U_A(1)} \bar{\theta} m_q^* \sum_f \bar{q}_f i \gamma_5 q_f \quad (m_q^* = \frac{m_u m_d}{m_u + m_d} \text{ reduced mass})$$

→ additional coupling constant is actually

$$\bar{\theta} = \theta + \arg \det \mathcal{M}_{\text{quark}}$$

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- Naive Dimensional Analysis (NDA) estimate of  $\bar{\theta}$ -induced  $n$  EDM:

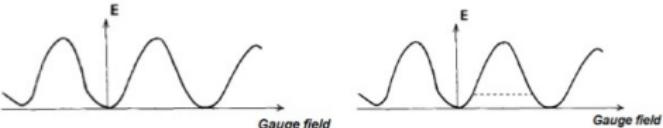
$$|d_n^{\bar{\theta}}| \sim \bar{\theta} \cdot \frac{m_q^*}{m_s} \cdot \frac{e}{2m_n} \sim \bar{\theta} \cdot 10^{-2} \cdot 10^{-14} \text{ ecm} \sim \bar{\theta} \cdot 10^{-16} \text{ ecm} \quad \text{with } \bar{\theta} \sim \mathcal{O}(1).$$

$$|d_n^{\text{emp}}| < 2.9 \cdot 10^{-26} \text{ ecm} \sim |\bar{\theta}| < 10^{-10}$$

► strong CP problem

## EDM sources: QCD $\theta$ -term of the SM

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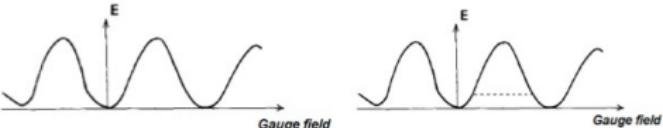
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$10^{-10} > |\bar{\theta}| > 10^{-14}$  eventually measurable via nonzero EDM, but because of  $\Lambda_{\chi SB} \ll \Lambda_{EWSB}$  it doesn’t explain the cosmic matter surplus.

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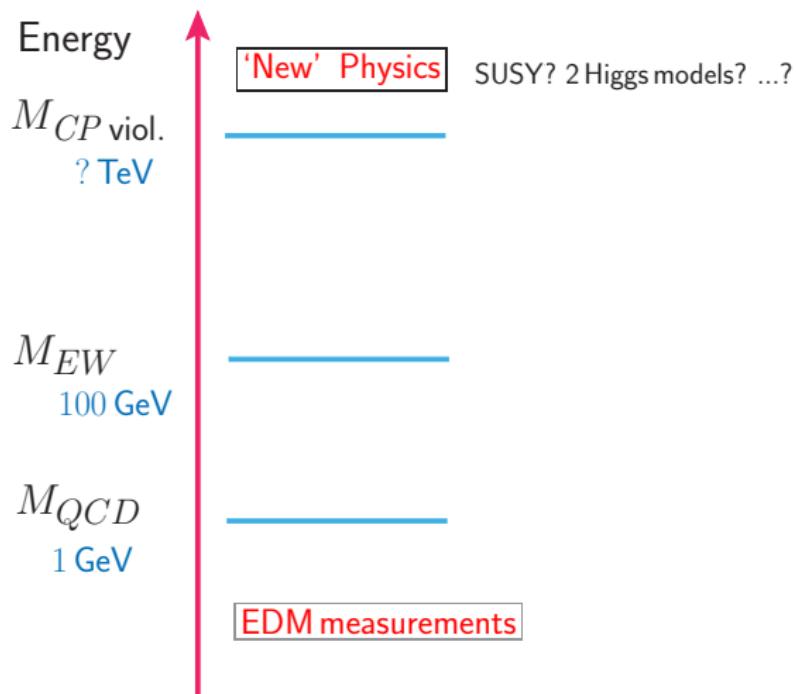
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Thus  $CP$  by new physics (NP) (i.e. dimension  $\geq 6$  sources beyond SM) needed to explain the cosmic matter-antimatter asymmetry.

# How to handle CP-violating sources beyond the SM?

Running through the scales

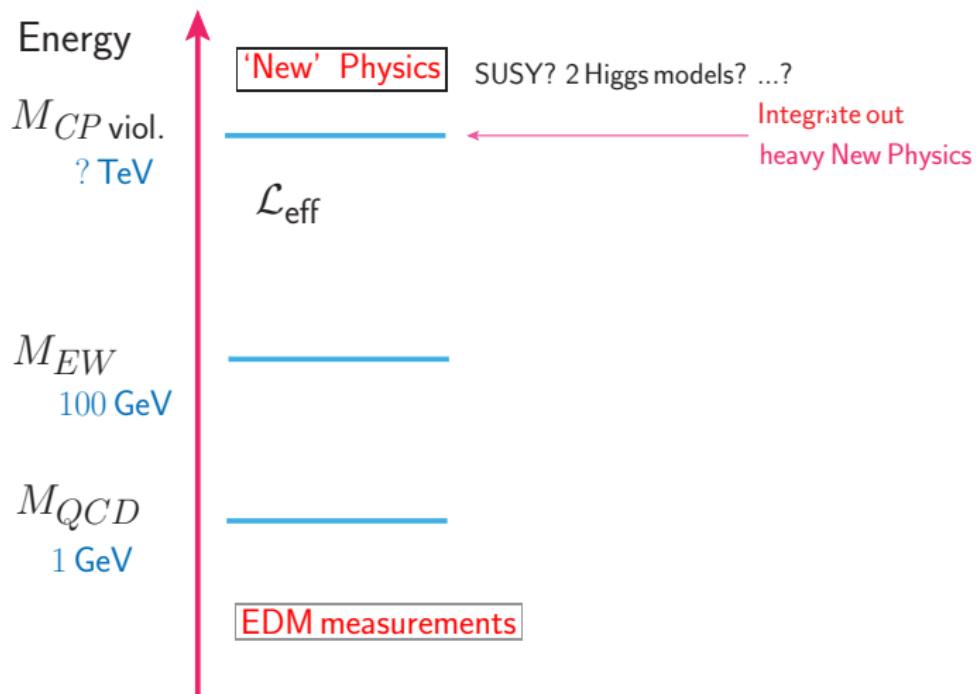
W. Dekens & J. de Vries, *JHEP* '13



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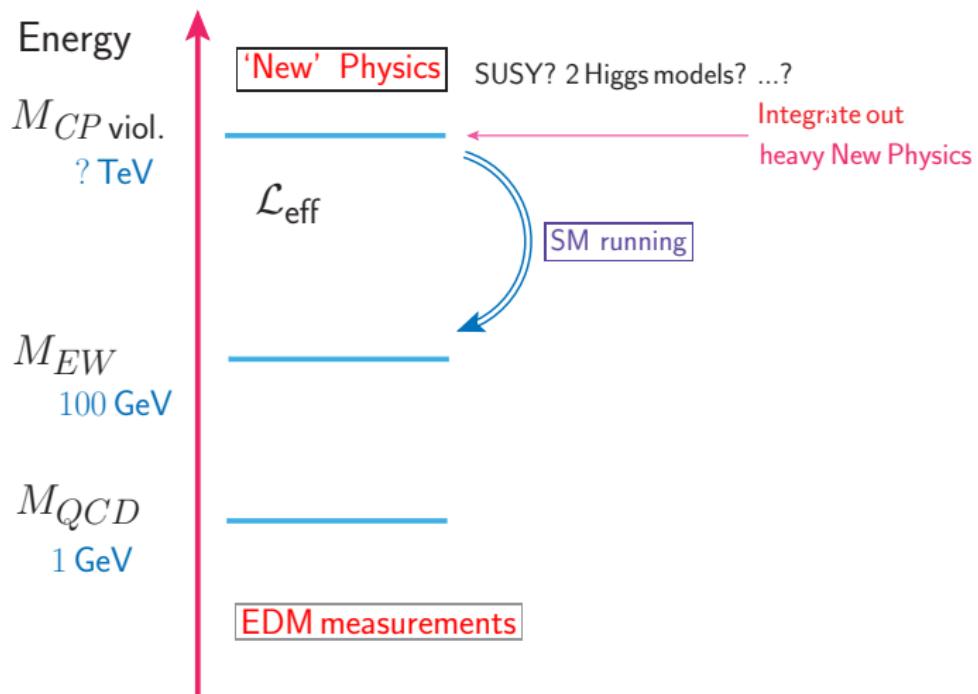
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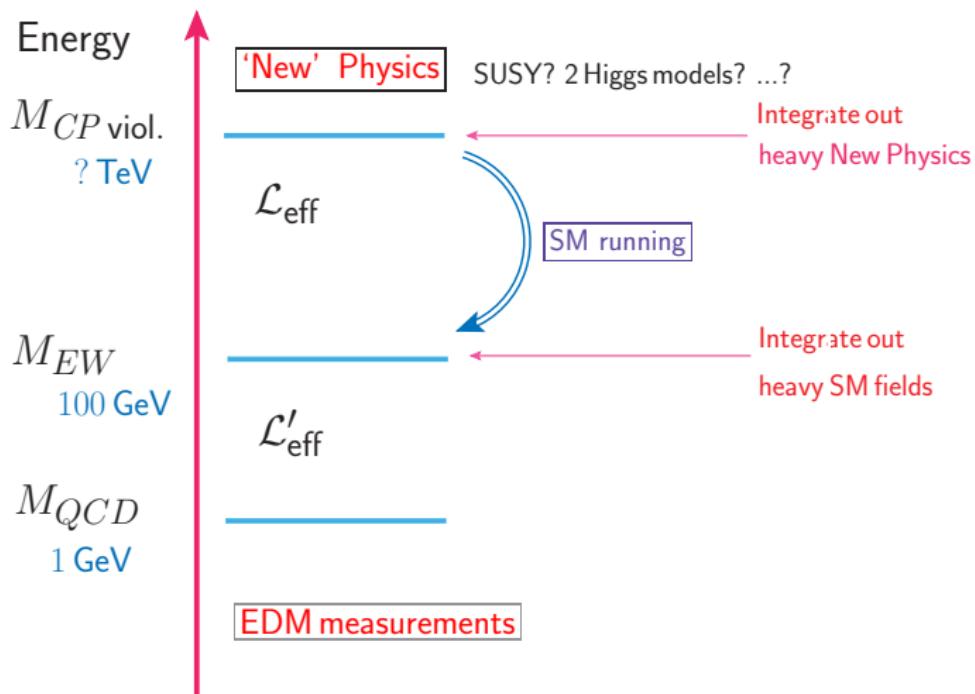
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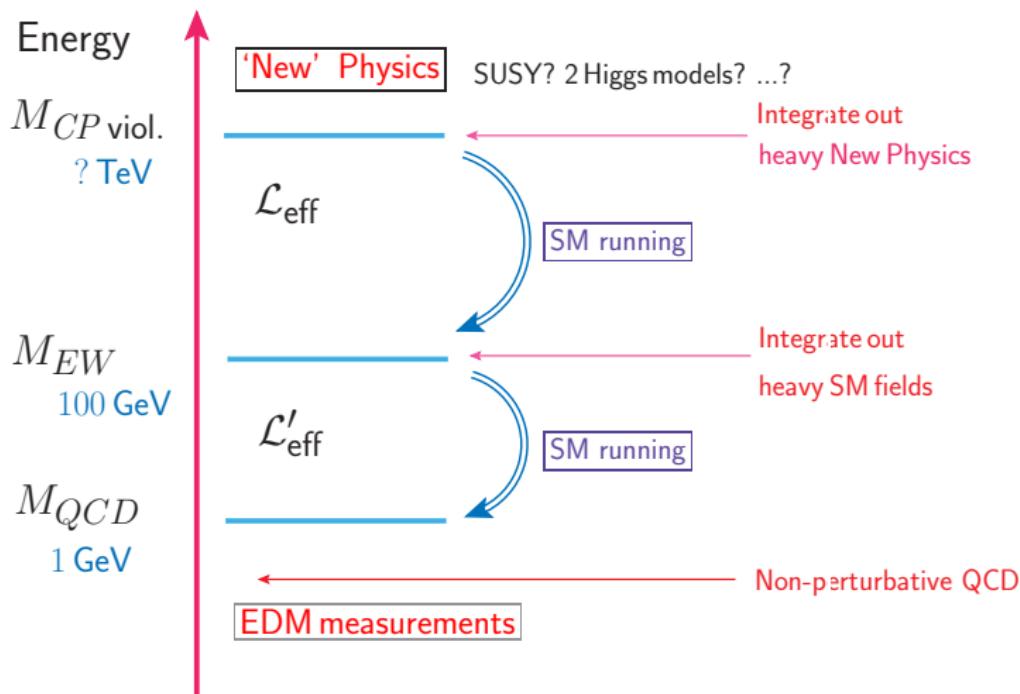
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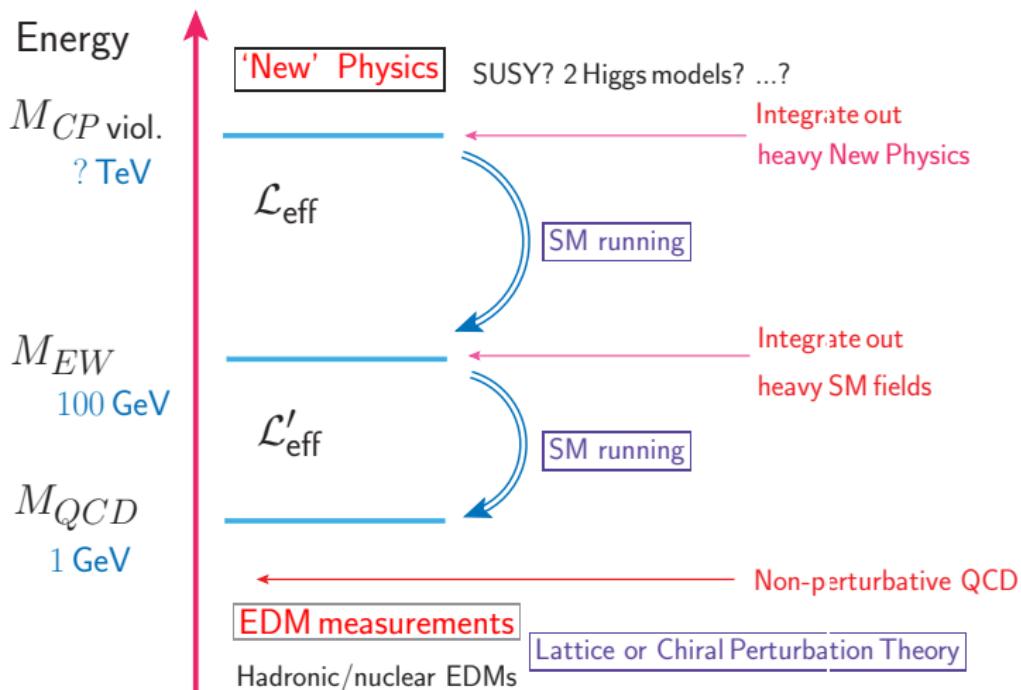
W. Dekens & J. de Vries, *JHEP* '13



# How to handle CP-violating sources beyond the SM?

Running through the scales

W. Dekens & J. de Vries, *JHEP* '13



# How to handle CP-violating sources beyond the SM?

New interactions as higher dimensional operators

- Add to the SM **all possible** effective interactions



- The new interactions appear as higher dimensional operators

$$\mathcal{L}_{\text{eff}} = \mathcal{L}_{SM} + \sum_i \frac{c_5^{(i)}}{M_T} \mathcal{O}_5^{(i)} + \sum_i \frac{c_6^{(i)}}{M_T} \mathcal{O}_6^{(i)} + \dots$$

where  $M_T$  is the scale of the *New Physics* particles

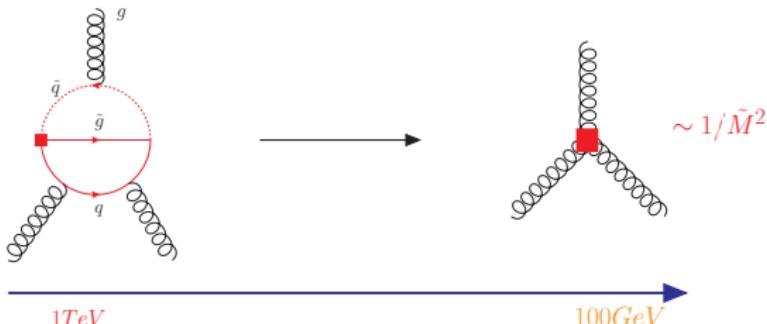
- Only the lowest dimensional operators should be important
- Hadronic EDMs: non-leptonic CP-violating operators of dim. 6  
Not of dim. 5 because of Higgs insertion (chiral symm.) at high (low) scales

# How to handle CP-violating sources beyond the SM?

Evaluation in Effective Field Theory (EFT) approach

▶ EFT

- All degrees of freedom *beyond NP (EW) scale* are integrated out:  
→ Only SM degrees of freedom remain:  $q, g, (H, Z, W^\pm, \dots)$
- Write down *all* interactions for these *active* degrees of freedom that *respect the SM+ Lorentz symmetries*: here dim. 6 or higher order
- Need a *power-counting scheme* to order these infinite # interactions
- Relics of eliminated BSM physics ‘remembered’ by the values of the *low-energy constants (LECs)* of the *CP-violating contact terms*, e.g.

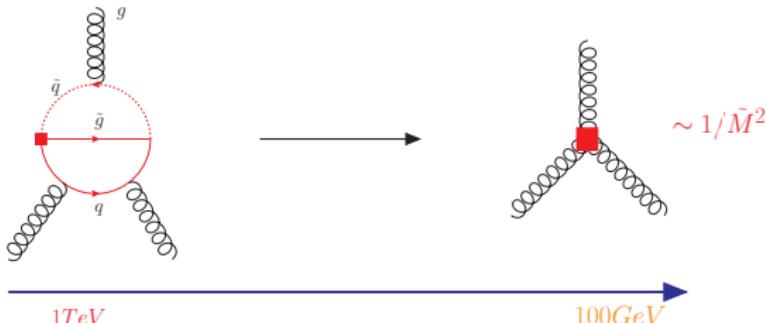


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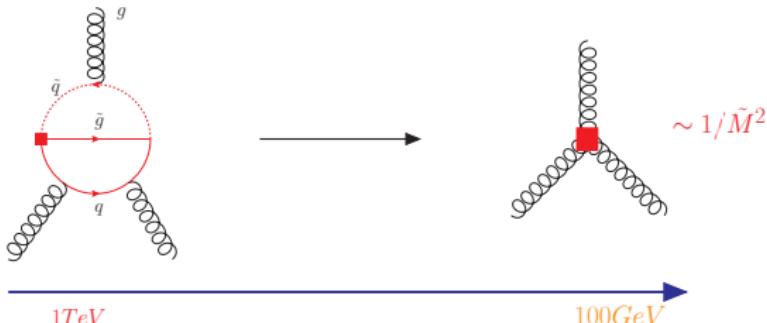


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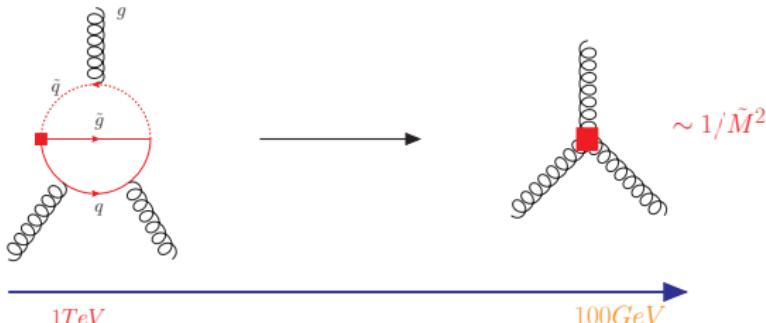


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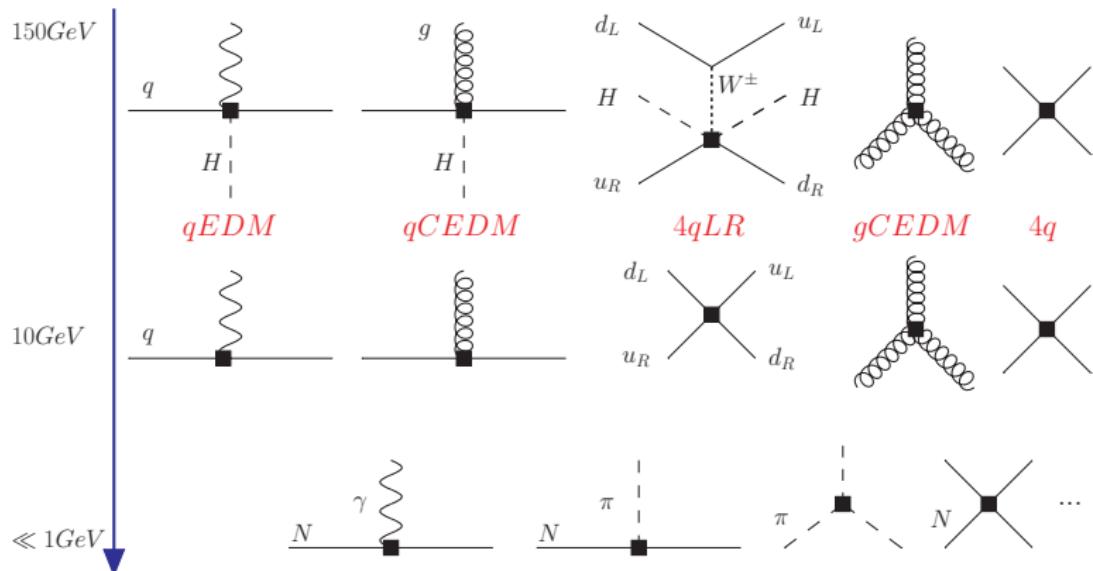
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# CP-violating BSM sources of dimension 6 from above EW scale to their hadronic equivalents below 1 GeV

W. Dekens &amp; J. de Vries JHEP '13

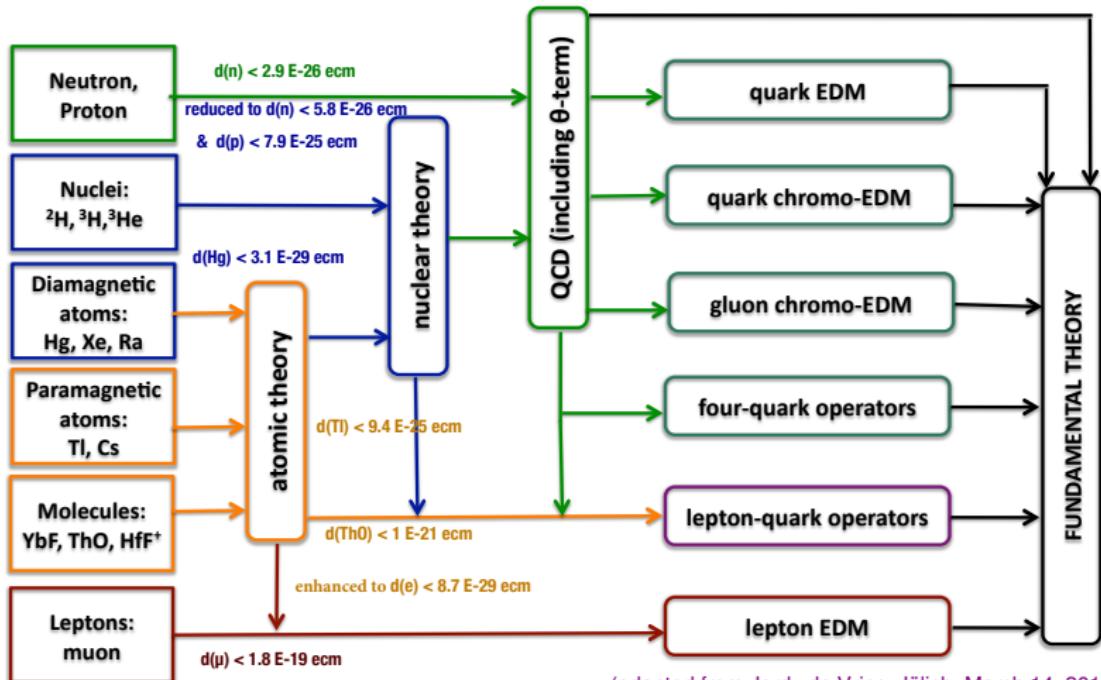


$$\begin{aligned} \text{Total #} &= 1(\bar{\theta}) + 2(qEDM) + 2(qCEDM) + 1(4qLR) + 1(gCEDM) + 2(4q) [+3(\text{semi-lept})] \\ &= \underbrace{1(\text{dim-four})}_{5 \text{ different classes}} + 8[+3](\text{dim-six}) \quad [\text{Caveat: implicitly } m_s \gg m_u, m_d \text{ assumed}] \end{aligned}$$

# Road map from EDM Measurements to EDM Sources

Experimentalist's point of view →

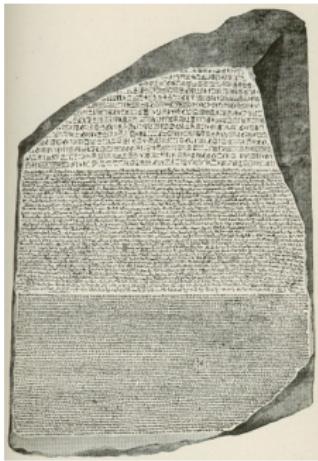
← Theorist's point of view



(adapted from Jordy de Vries, Jülich, March 14, 2013)

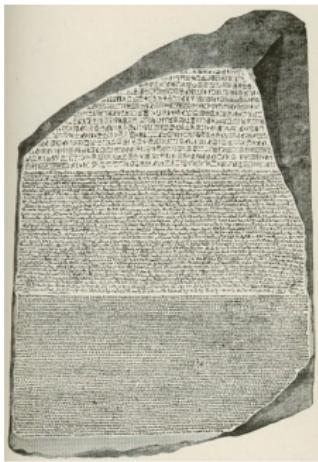
# EDM Rosetta Stone

from ‘quarkish/machine’ to ‘hadronic/human’ language?



## EDM Rosetta Stone

from ‘quarkish/machine’ to ‘hadronic/human’ language?



- Symmetries (esp. chiral one) plus Goldstone Theorem
- Low-Energy Effective Field Theory with External Sources
- i.e. Chiral Perturbation Theory (suitably extended) 

# Hierarchy among the sources at the hadronic EFT level

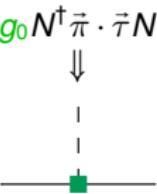
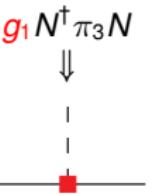
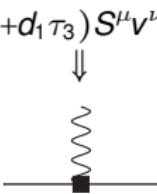
Each source transforms differently under chiral and isospin symmetry

$\mathcal{CP}, I$	$\mathcal{CP}, I$	$\mathcal{CP}, I + I'$
$\mathcal{L}_{\text{EFT}}^{\mathcal{CP}} = g_0 N^\dagger \vec{\pi} \cdot \vec{\tau} N$	$+ g_1 N^\dagger \pi_3 N$	$+ N^\dagger (d_0 + d_1 \tau_3) S^{\mu\nu} F_{\mu\nu} N + \dots$
↓	↓	↓
   —■—	   —■—	—■— ...
dominant for $\bar{\theta}$ term	suppressed for $\bar{\theta}$ term	suppressed by $\mathcal{O}(m_\pi^2)$

- $\mathcal{L}_{QCD}^\theta = \bar{\theta} m_q^* \sum_f \bar{q}_f i \gamma_5 q_f$ :  $\mathcal{CP}, I$      $m_q^* = \frac{m_u m_d}{m_u + m_d}$ 
  - ↪  $\bar{\theta}$  source **breaks chiral symmetry** ( $\propto m_q^*$ ) but conserves the isospin one:
  - ↪  $|g_0^\theta| \gg |g_1^\theta|$ : NDA estimate:  $g_1^\theta / g_0^\theta \sim \mathcal{O}(m_\pi^2 / m_n^2)$       de Vries et al. *PRC* '11
  - ChPT LECs predict:  $g_1^\theta / g_0^\theta \sim \mathcal{O}(m_\pi / m_n)$ ! Baisou et al. *EPJA* '13

## Hierarchy among the sources at the hadronic EFT level

Each source transforms differently under chiral and isospin symmetry

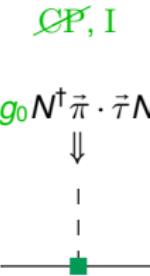
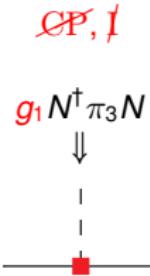
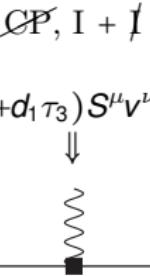
$\mathcal{CP}, I$	$\mathcal{CP}, I$	$\mathcal{CP}, I + I$
$\mathcal{L}_{\text{EFT}}^{\mathcal{CP}} = g_0 N^\dagger \vec{\pi} \cdot \vec{\tau} N$  ↓     —————	$+ g_1 N^\dagger \pi_3 N$  ↓     —————	$+ N^\dagger (d_0 + d_1 \tau_3) S^\mu v^\nu F_{\mu\nu} N$  ↓ ... ...
dominant for chromo qEDM source	dominant for chromo qEDM source	$\mathcal{O}(m_\pi^2)$ suppressed for chromo qEDM source

- **chromo quark EDM:** chiral symmetries are (& isospin ones may be) broken because of quark masses  $\sim$  Goldstone theorem respected
- **4quark Left-Right EDM:** **explicit** breaking of **chiral & isospin** symmetries because of underlying  $W$  boson exchange  $\sim$  Goldstone theorem does not apply

## Hierarchy among the sources at the hadronic EFT level

Each source transforms differently under chiral and isospin symmetry

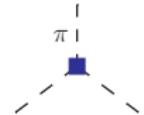
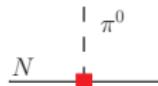
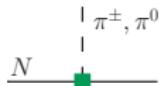
$$\mathcal{L}_{\text{EFT}}^{\cancel{CP}, I} = g_0 N^\dagger \vec{\pi} \cdot \vec{\tau} N + g_1 N^\dagger \pi_3 N + N^\dagger (d_0 + d_1 \tau_3) S^\mu v^\nu F_{\mu\nu} N + \dots$$

suppressed  
for quark  
EDM source      suppressed  
for quark  
EDM source      dominating  
for quark EDM source

- quark EDM:  $N\pi$  (and  $NN$ ) interactions are **suppressed** by  $\alpha_{\text{em}}/(4\pi)$
- gluon color EDM (and chiral-4quark EDM): **relative  $\mathcal{O}(m_\pi^2)$  suppression** of  $N\pi$  interactions because of Goldstone theorem

# Summary of scalings of $\mathcal{CP}$ hadronic vertices from $\theta$ to BSM sources

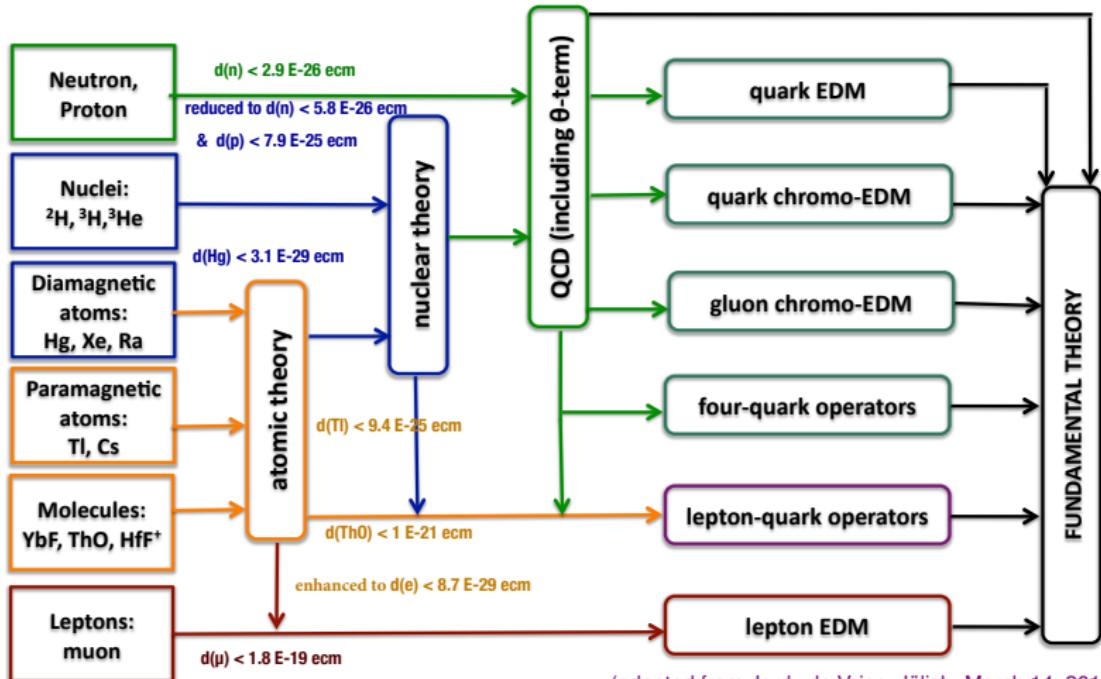
 $g_0: \mathcal{CP}, I$ 
 $g_1: \mathcal{CP}, I$ 
 $d_0, d_1: \mathcal{CP}, I + I'$ 
 $m_N \Delta_{3\pi}: \mathcal{CP}, I$ 
 $\mathcal{L}_{\text{EFT}}^{\mathcal{CP}}$ 

 $\theta\text{-term:}$ 
 $\mathcal{O}(1)$ 
 $\mathcal{O}(M_\pi/m_N)$ 
 $\mathcal{O}(M_\pi^2/m_N^2)$ 
 $\mathcal{O}(M_\pi^2/m_N^2)$ 
 $q\text{EDM:}$ 
 $\mathcal{O}(\alpha_{EM}/(4\pi))$ 
 $\mathcal{O}(\alpha_{EM}/(4\pi))$ 
 $\mathcal{O}(1)$ 
 $\mathcal{O}(\alpha_{EM}/(4\pi))$ 
 $q\text{CEDM:}$ 
 $\mathcal{O}(1)$ 
 $\mathcal{O}(1)$ 
 $\mathcal{O}(M_\pi^2/m_N^2)$ 
 $\mathcal{O}(M_\pi^2/m_N^2)$ 
 $4q\text{LR:}$ 
 $\mathcal{O}(M_\pi^2/m_n^2)$ 
 $\mathcal{O}(1)$ 
 $\mathcal{O}(M_\pi^2/m_N^2)$ 
 $\mathcal{O}(M_\pi/m_n)$ 
 $g\text{CEDM:}$ 
 $\mathcal{O}(M_\pi^2/m_N^2)^*$ 
 $\mathcal{O}(M_\pi^2/m_N^2)^*$ 
 $\mathcal{O}(1)$ 
 $\mathcal{O}(M_\pi^2/m_N^2)$ 
 $4q:$ 
 $\mathcal{O}(M_\pi^2/m_N^2)^*$ 
 $\mathcal{O}(M_\pi^2/m_N^2)^*$ 
 $\mathcal{O}(1)$ 
 $\mathcal{O}(M_\pi^2/m_N^2)$ 

\*: Goldstone theorem  $\rightarrow$  relative  $\mathcal{O}(M_\pi^2/m_n^2)$  suppression of  $N\pi$  interactions

# Road map from EDM Measurements to EDM Sources

Experimentalist's point of view →

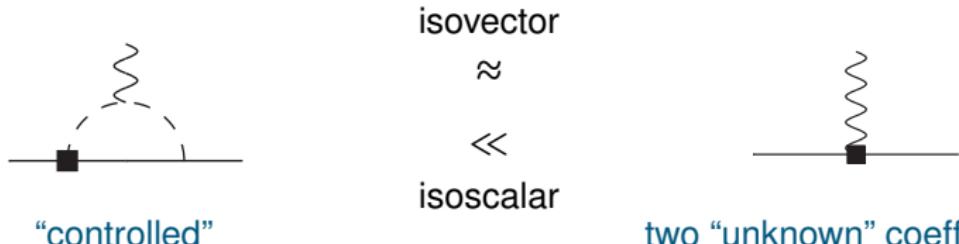
← Theorist's point of view



(adapted from Jordy de Vries, Jülich, March 14, 2013)

# $\theta$ -Term Induced Nucleon EDM

single nucleon EDM:



$$d_N|_{\text{loop}}^{\text{isovector}} = -e \frac{g_{\pi NN} g_0^\theta}{4\pi^2} \frac{\ln(M_N^2/m_\pi^2)}{2M_N} \sim \bar{\theta} m_\pi^2 \ln m_\pi^2 \quad (e > 0)$$

Crewther, di Vecchia, Veneziano & Witten *PLB*'79; Pich & de Rafael *NPB*'91; Ott nad et al. *PLB*'10

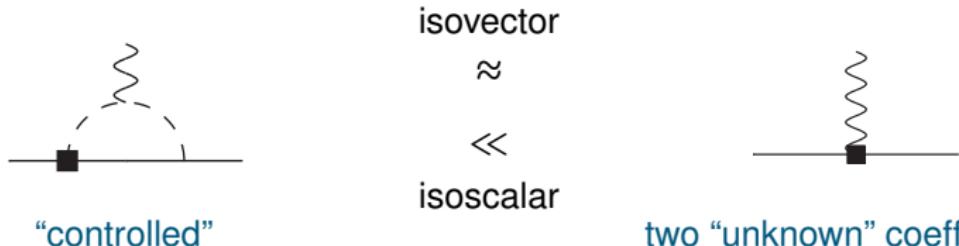
$$g_0^\theta = \frac{(m_n - m_p)^{\text{strong}} (1 - \epsilon^2)}{4F_\pi \epsilon} \bar{\theta} \approx (-0.018 \pm 0.007) \bar{\theta} \quad (\text{where } \epsilon \equiv \frac{m_u - m_d}{m_u + m_d})$$

$$\hookrightarrow d_N|_{\text{loop}}^{\text{isovector}} \sim (2.1 \pm 0.9) \cdot 10^{-16} \bar{\theta} \text{ e cm}$$

Ott nad et al. *PLB*'10; Bsaisou et al. *EPJA*'13

# $\theta$ -Term Induced Nucleon EDM

single nucleon EDM:



two “unknown” coefficients

Guo & Meißner *JHEP*'12: also in SU(3) case

$$d_N|_{\text{loop}}^{\text{isovector}} = -e \frac{g_{\pi NN} g_0^\theta}{4\pi^2} \frac{\ln(M_N^2/m_\pi^2)}{2M_N} \sim \bar{\theta} m_\pi^2 \ln m_\pi^2 \quad (e > 0)$$

Crewther, di Vecchia, Veneziano & Witten *PLB*'79; Pich & de Rafael *NPB*'91; Ott nad et al. *PLB*'10

$$g_0^\theta = \frac{(m_n - m_p)^{\text{strong}} (1 - \epsilon^2)}{4F_\pi \epsilon} \bar{\theta} \approx (-0.018 \pm 0.007) \bar{\theta} \quad (\text{where } \epsilon \equiv \frac{m_u - m_d}{m_u + m_d})$$

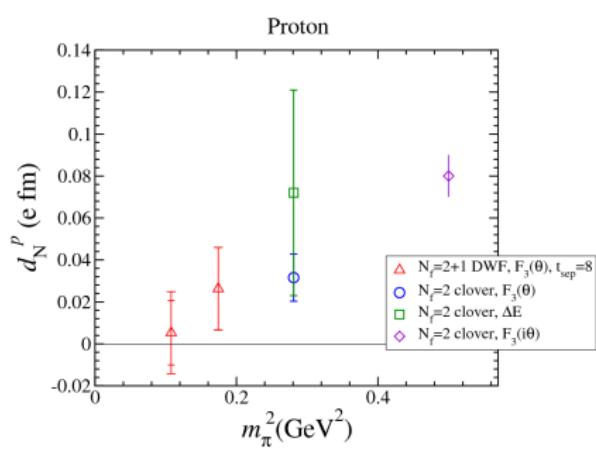
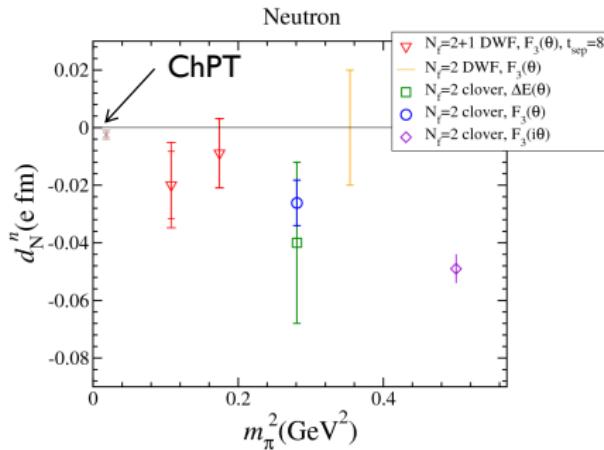
$$\hookrightarrow d_N|_{\text{loop}}^{\text{isovector}} \sim (2.1 \pm 0.9) \cdot 10^{-16} \bar{\theta} \text{ e cm} \quad \text{Ott nad et al. *PLB*'10; Bsaisou et al. *EPJA*'13}$$

But what about the two “unknown” coefficients of the contact terms?

# Lattice (full QCD) results

neutron EDM and

proton EDM



$$\theta \equiv 1 !$$

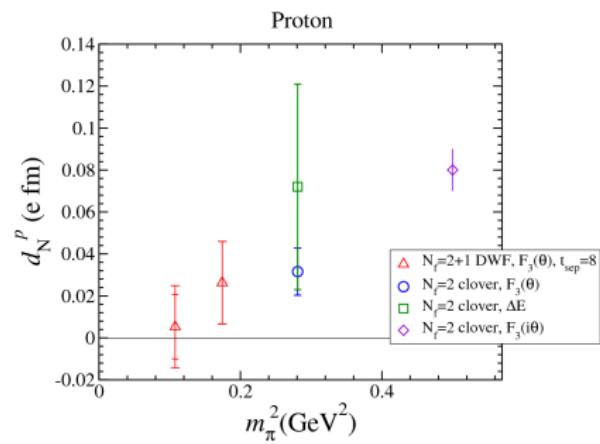
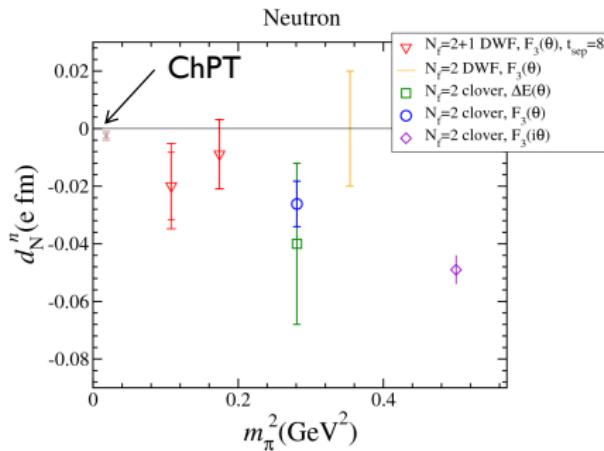
(adapted from Eigo Shintani (Mainz), *Lattice calculation of nucleon EDM*, Hirschegg, Jan. 14, 2014)

no systematical errors!

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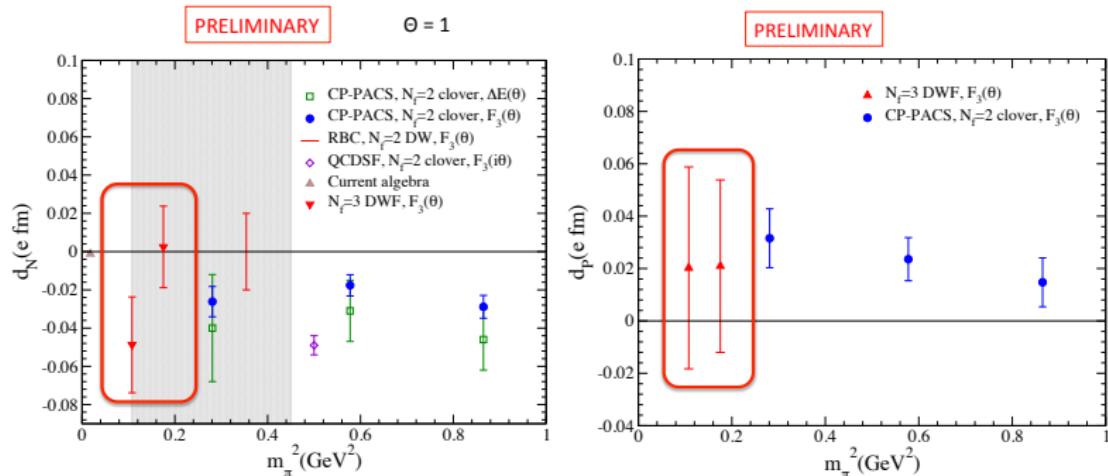
no systematical errors!

$$\hookrightarrow d_n = \bar{\theta} (-2.7 \pm 1.2) \cdot 10^{-3} \cdot e \cdot fm \quad \text{and} \quad d_p = \bar{\theta} (2.1 \pm 1.2) \cdot 10^{-3} \cdot e \cdot fm$$

Akan, Guo, Meißner *PLB*'14

# Lattice (full QCD) results

neutron EDM and proton EDM



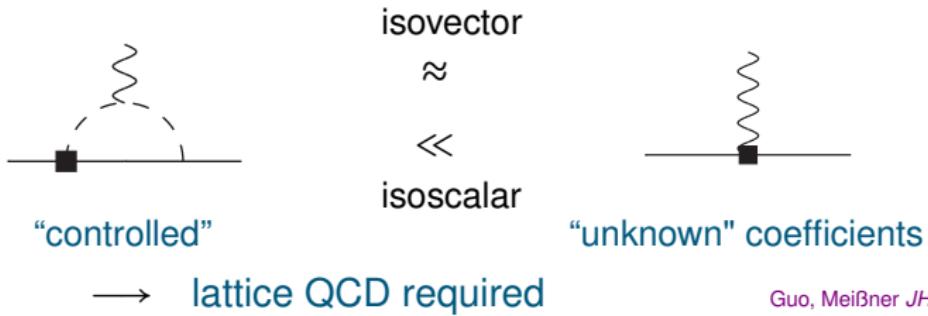
(adapted from Taku Izubuchi (BNL), *Lattice-QCD calculations for EDMs*, Fermilab, Feb. 14, 2013)

*Don't mention the ... light nuclei*

# Single Nucleon Versus Nuclear EDM

Crewther, di Vecchia, Veneziano, Witten *PLB'79*; Pich, de Rafael *NPB'91*; Ott nad et al. *PLB'10*

single nucleon EDM:



two nucleon EDM:

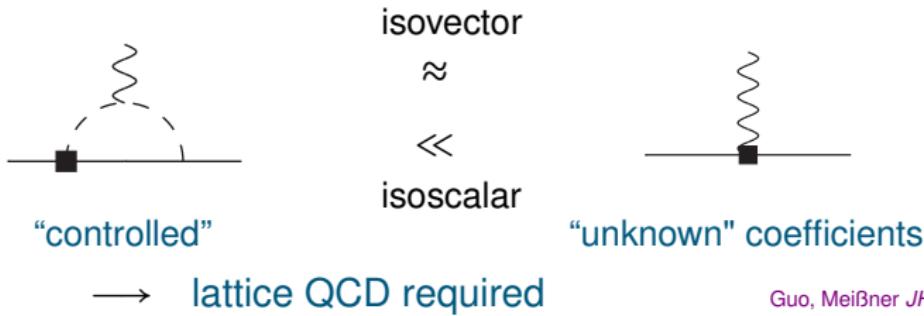


Sushkov, Flambaum, Khriplovich *Sov.Phys.JETP'84*

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Crewther, di Vecchia, Veneziano, Witten *PLB'79*; Pich, de Rafael *NPB'91*; Ott nad et al. *PLB'10*

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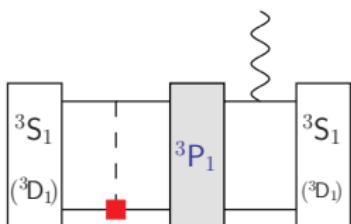


two nucleon EDM:



# EDM of the Deuteron at LO: CP-violating $\pi$ exchange

$$\begin{aligned} \mathcal{L}_{\text{CP}}^{\pi N} = & -d_n N^\dagger (1 - \tau^3) S^\mu v^\nu N F_{\mu\nu} - d_p N^\dagger (1 + \tau_3) S^\mu v^\nu N F_{\mu\nu} \\ & + m_N \Delta_{3\pi} \pi^2 \pi_3 + g_0 N^\dagger \vec{\pi} \cdot \vec{\tau} N + g_1 N^\dagger \pi_3 N \\ & + C_1 N^\dagger N \mathcal{D}_\mu (N^\dagger S^\mu N) + C_2 N^\dagger \vec{\tau} N \cdot \mathcal{D}_\mu (N^\dagger \vec{\tau} S^\mu N) + \dots . \end{aligned}$$



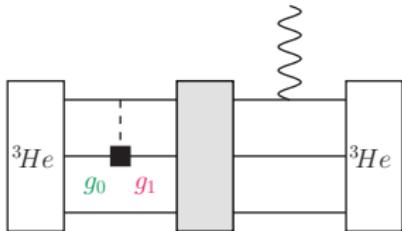
LO:  ~~$g_0^\theta N^\dagger \vec{\pi} \cdot \vec{\tau} N$~~  ( $\cancel{\text{CP}}, I$ )  $\rightarrow 0$  (Isospin filter!)  
 NLO:  $g_1^\theta N^\dagger \pi_3 N$  ( $\cancel{\text{CP}}, I$ )  $\rightarrow$  "LO" in D case

term	N <sup>2</sup> LO ChPT	AV <sub>18</sub>	CD-Bonn	units
$d_n$	1.00	1.00	1.00	$d_n$
$d_p$	1.00	1.00	1.00	$d_p$
$g_1$	$0.183 \pm 0.017$	0.186	0.186	$g_1 \text{ e fm}$

Bsaisou, dissertation, Univ. Bonn (2014); Bsaisou et al., in preparation

BSM  $\cancel{\text{CP}}$  sources:  $g_1 \pi NN$  vertex is of LO in qCEDM and 4qLR case

## $^3\text{He}$ EDM: results for CP-violating $\pi$ exchange



$$g_0 N^\dagger \vec{\pi} \cdot \vec{\tau} N \quad (\text{CP}, \text{I})$$

LO:  $\theta$ -term, qCEDM

N<sup>2</sup>LO: 4qLR

$$g_1 N^\dagger \pi_3 N \quad (\text{CP}, \text{I})$$

LO: qCEDM, 4qLR

NLO:  $\theta$  term

term	A	N <sup>2</sup> LO ChPT	Av <sub>18</sub> +UIX	CD-Bonn+TM	units
$d_n$	$^3\text{He}$	$0.904 \pm 0.013$	0.875	0.902	$d_n$
	$^3\text{H}$	$-0.030 \pm 0.007$	-0.051	-0.038	$d_n$
$d_p$	$^3\text{He}$	$-0.029 \pm 0.006$	-0.050	-0.037	$d_p$
	$^3\text{H}$	$0.918 \pm 0.013$	0.902	0.876	$d_p$
$g_0$	$^3\text{He}$	$0.111 \pm 0.013$	0.073	0.087	$g_0 \text{ e fm}$
	$^3\text{H}$	$-0.108 \pm 0.013$	-0.073	-0.085	$g_0 \text{ e fm}$
$g_1$	$^3\text{He}$	$0.142 \pm 0.019$	0.142	0.146	$g_1 \text{ e fm}$
	$^3\text{H}$	$0.139 \pm 0.019$	0.142	0.144	$g_1 \text{ e fm}$
$\Delta_{3\pi}$	$^3\text{He}$	...	...	...	$\Delta_{3\pi} \text{ e fm}$
	$^3\text{H}$	...	...	...	$\Delta_{3\pi} \text{ e fm}$

Bsaisou, dissertation, Univ. Bonn (2014); Bsaisou et al., in preparation

# Discriminating between three scenarios at 1 GeV

Dekens, de Vries, Bsaisou, Bernreuther et al. *JHEP* 07 '14

- 1 The Standard Model +  $\bar{\theta}$

$$\mathcal{L}_{\text{SM}}^{\bar{\theta}} = \mathcal{L}_{\text{SM}} + \bar{\theta} m_q^* \bar{q} i \gamma_5 q$$

- 2 The left-right symmetric model — with two 4-quark operators:

$$\mathcal{L}_{LR} = -i \Xi [1.1 (\bar{u}_R \gamma_\mu u_R) (\bar{d}_L \gamma^\mu d_L) + 1.4 (\bar{u}_R t^a \gamma_\mu u_R) (\bar{d}_L t^a \gamma^\mu d_L)] + \text{h.c.}$$

- 3 The aligned two-Higgs-doublet model — with the dipole operators:

$$\mathcal{L}_{a2HM} = -e \frac{d_d}{2} \bar{d} i \sigma_{\mu\nu} \gamma_5 d F^{\mu\nu} - \frac{\tilde{d}_d}{2} \bar{d} i \sigma_{\mu\nu} \gamma_5 t^a d G^{a\mu\nu} + \frac{d_W}{3} f_{abc} \tilde{G}^{a\mu\nu} G_{\mu\rho}^b G_{\nu}^{c\rho}$$

— with the hierarchy  $\tilde{d}_d \simeq 4 d_d \simeq 20 d_W$

matched on

$$\begin{aligned} \mathcal{L}_{\text{CP EFT}}^{\pi N} &= -d_N N^\dagger (1 - \tau^3) S^\mu v^\nu N F_{\mu\nu} - d_p N^\dagger (1 + \tau_3) S^\mu v^\nu N F_{\mu\nu} \\ &\quad + m_N \Delta_{3\pi} \pi^2 \pi_3 + g_0 N^\dagger \vec{\pi} \cdot \vec{\tau} N + g_1 N^\dagger \pi_3 N \\ &\quad + C_1 N^\dagger N \mathcal{D}_\mu (N^\dagger S^\mu N) + C_2 N^\dagger \vec{\tau} N \cdot \mathcal{D}_\mu (N^\dagger \vec{\tau} S^\mu N) + \dots . \end{aligned}$$

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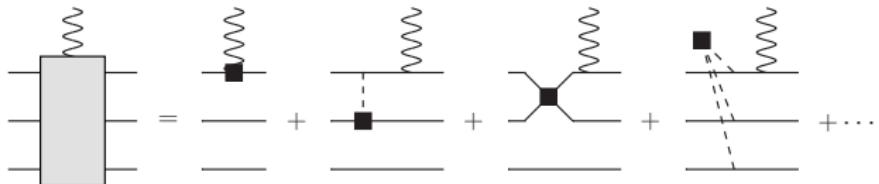
$$\mathcal{L}_{LR} = -i \Xi [1.1 (\bar{u}_R \gamma_\mu u_R) (\bar{d}_L \gamma^\mu d_L) + 1.4 (\bar{u}_R t^a \gamma_\mu u_R) (\bar{d}_L t^a \gamma^\mu d_L)] + \text{h.c.}$$

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# Testing strategies: SM + $\bar{\theta}$

Measurement of the helion and neutron EDMs

# Testing strategies: SM + $\bar{\theta}$

Measurement of the helion and neutron EDMs

$$d_{^3\text{He}} - 0.9d_n = (1.35 \pm 0.75_{\text{had}} \pm 0.21_{\text{nucl}}) \cdot 10^{-3} \cdot e \cdot \text{fm}$$

Extraction of  $\bar{\theta}$

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Extraction of  $\bar{\theta}$

$$d_D - d_n - d_p = (0.59 \pm 0.26_{\text{had.}} \pm 0.01_{\text{nucl.}}) \cdot 10^{-3} \cdot e \cdot \text{fm}$$

Prediction for  $d_D - (d_n + d_p)$   
(and triton EDM)

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Prediction for  $d_D - (d_n + d_p)$   
 (and triton EDM)

$$g_0^\theta = \frac{(m_n - m_p)^{\text{strong}}(1 - \epsilon^2)}{4F_\pi \epsilon} \bar{\theta} \quad \text{and} \quad \frac{g_1^\theta}{g_0^\theta} \approx \frac{8c_1(M_{\pi^\pm}^2 - M_{\pi^0}^2)^{\text{strong}}}{(m_n - m_p)^{\text{strong}}} \quad \text{with} \quad \epsilon = \frac{m_u - m_d}{m_u + m_d}$$

# Testing strategies: mLRSM

Measurement of the deuteron  
and nucleon EDMs

Wouter Dekens (Groningen) 2014

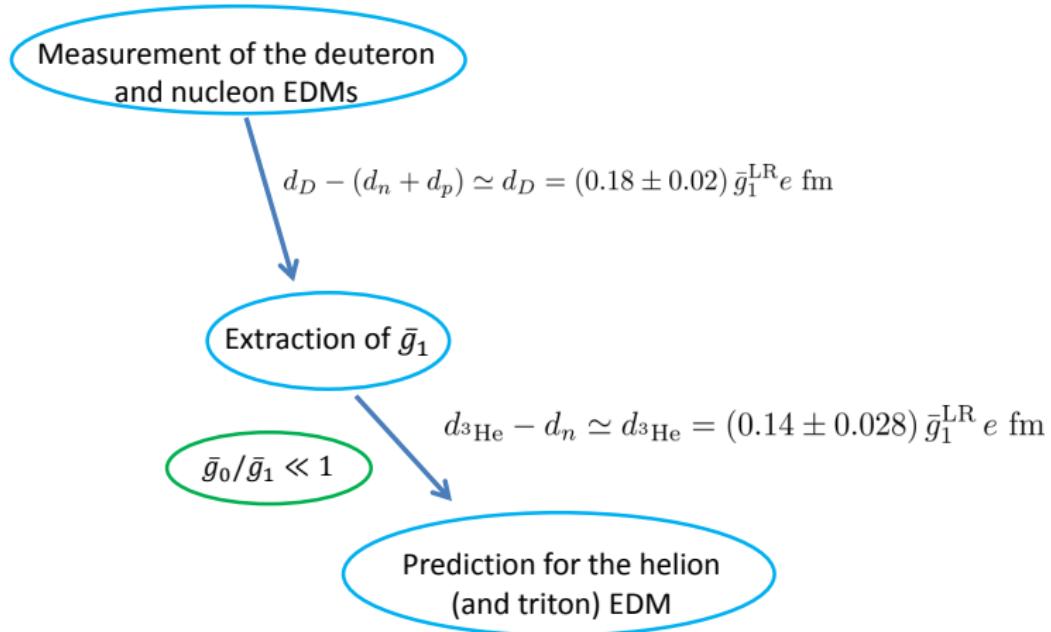
# Testing strategies: mLRSM

Measurement of the deuteron  
and nucleon EDMs

$$d_D - (d_n + d_p) \simeq d_D = (0.18 \pm 0.02) \bar{g}_1^{\text{LR}} e \text{ fm}$$

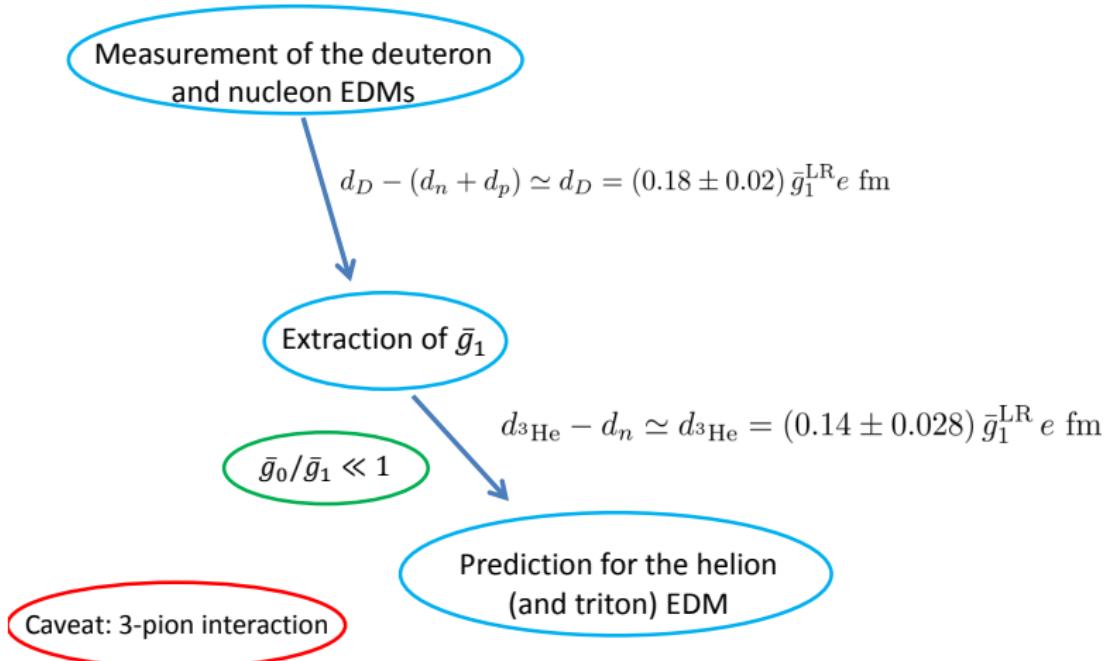
Extraction of  $\bar{g}_1$

# Testing strategies: mLRSM



Wouter Dekens (Groningen) 2014

# Testing strategies: mLRSM



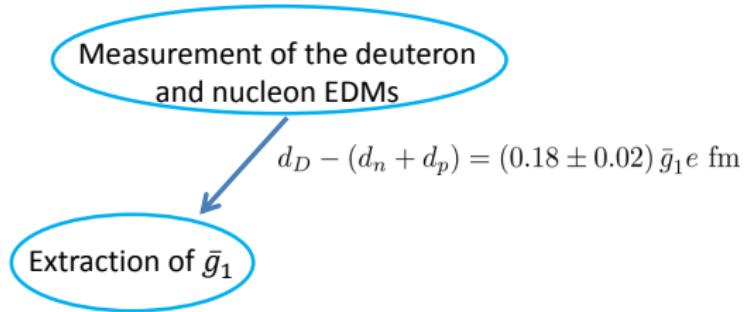
Wouter Dekens (Groningen) 2014

# Testing strategies: a2HDM

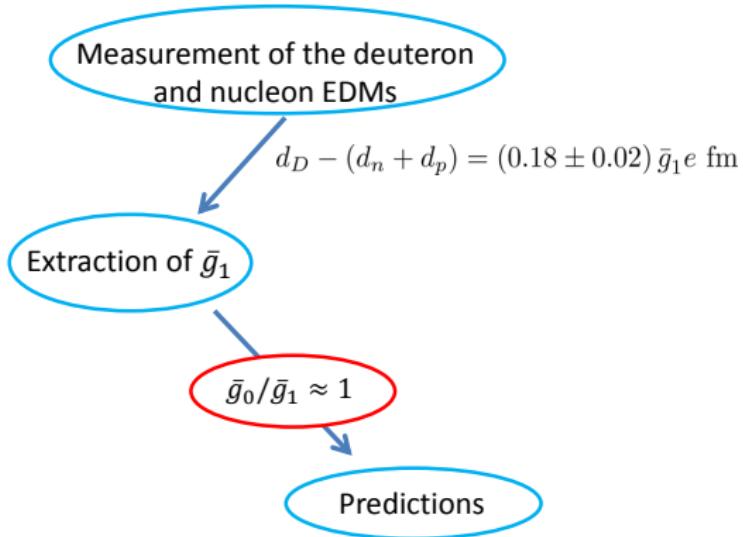
Measurement of the deuteron  
and nucleon EDMs

Wouter Dekens (Groningen) 2014

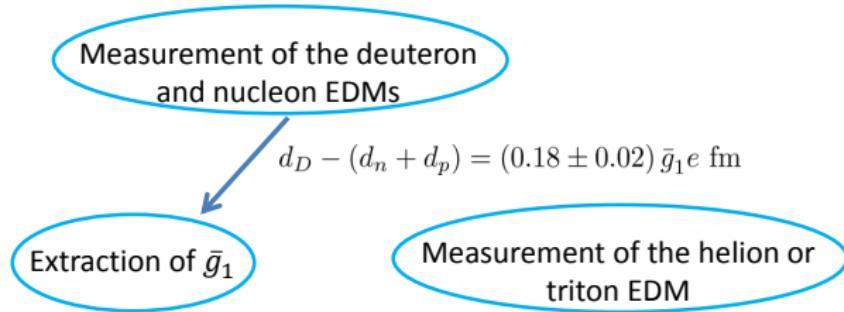
# Testing strategies: a2HDM



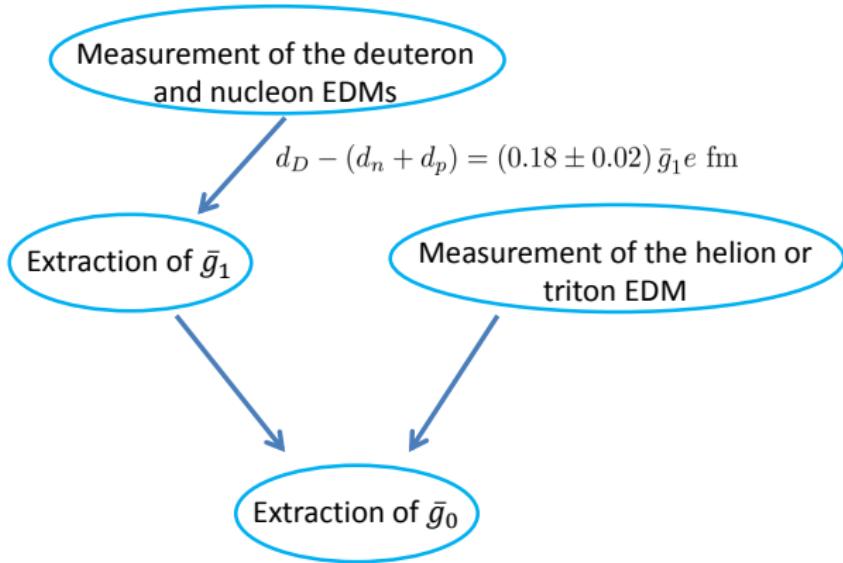
# Testing strategies: a2HDM



# Testing strategies: a2HDM



# Testing strategies: a2HDM



$$d_D - (d_n + d_p) = (0.18 \pm 0.02) \bar{g}_1 e \text{ fm}$$

Extraction of  $\bar{g}_1$

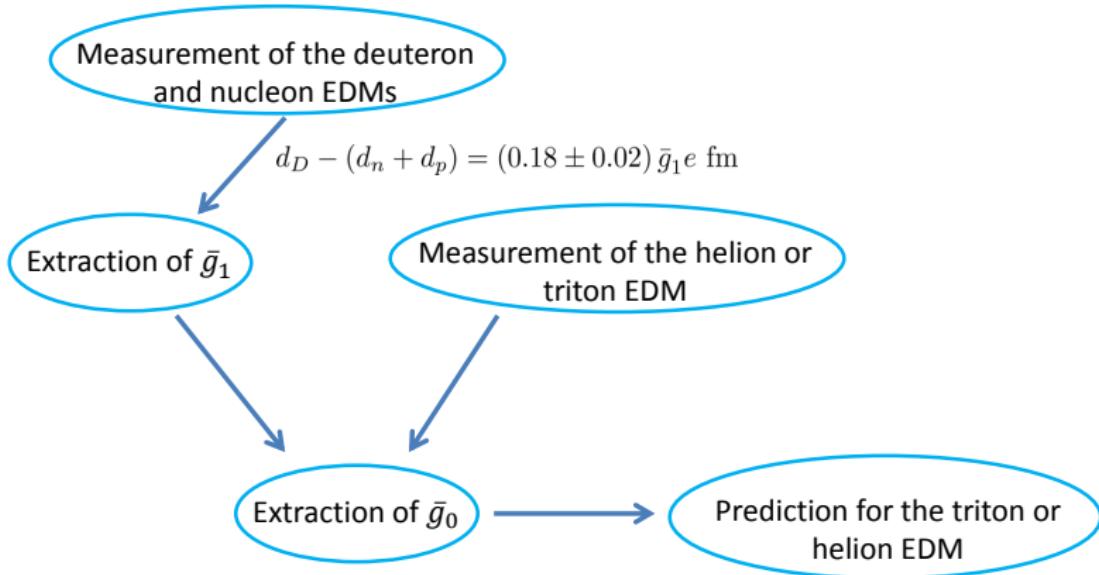
Measurement of the helion or triton EDM

Extraction of  $\bar{g}_0$

$$\begin{aligned} d_{^3\text{He}} &= 0.88 d_n - 0.047 d_p + [(0.097 \pm 0.025) \bar{g}_0 + (0.14 \pm 0.028) \bar{g}_1] e \text{ fm} , \\ d_{^3\text{H}} &= -0.050 d_n + 0.90 d_p - [(0.096 \pm 0.024) \bar{g}_0 - (0.14 \pm 0.028) \bar{g}_1] e \text{ fm} \end{aligned}$$

Wouter Dekens (Groningen) 2014

# Testing strategies: a2HDM



$$d_D - (d_n + d_p) = (0.18 \pm 0.02) \bar{g}_1 e \text{ fm}$$

Extraction of  $\bar{g}_1$

Measurement of the helion or  
triton EDM

Extraction of  $\bar{g}_0$

Prediction for the triton or  
helion EDM

$$d_{^3\text{He}} = 0.88 d_n - 0.047 d_p + [(0.097 \pm 0.025) \bar{g}_0 + (0.14 \pm 0.028) \bar{g}_1] e \text{ fm} ,$$

$$d_{^3\text{H}} = -0.050 d_n + 0.90 d_p - [(0.096 \pm 0.024) \bar{g}_0 - (0.14 \pm 0.028) \bar{g}_1] e \text{ fm}$$

Wouter Dekens (Groningen) 2014

## Summary

- $^2\text{H}$  EDM might distinguish between  $\bar{\theta}$  and other scenarios and allows extraction of the  $g_1$  coupling constant through  $d_D - (d_n + d_p)$
- $^3\text{He}$  (or  $^3\text{H}$ ) EDM necessary for a proper test of  $\bar{\theta}$  and LR scenarios
- a2HDM scenario: both helion & triton EDMs would be needed
- Deuteron & helion work as independent **isospin filters** of EDMs
- gCEDM, 4quark chiral singlet:  
controlled calculation/disentanglement difficult (lattice ?)
- Ultimate progress may eventually come from Lattice QCD  
 $\rightarrow \mathcal{CP} N\pi$  couplings  $g_0$  &  $g_1$  may be accessible even for dim-6 case

## Traditional atomic EDMs

- Why can't we get **this info** from EDMs of Hg, Ra, Rn, ... ?

Strong bound on atomic EDM:  $|d_{^{199}\text{Hg}}| < 3.1 \cdot 10^{-29} \cdot e \cdot \text{fm}$

Griffiths et al. *PRL* '09

- The **atomic** part of the calculation is well under control

$$d_{^{199}\text{Hg}} = (2.8 \pm 0.6) S_{\text{Hg}} \cdot e \cdot \text{fm}^{-2}$$

Dzuba et al. *PRA* '02,'09

$S_{\text{Hg}}$ : Nuclear Schiff moment

- But the **nuclear** part isn't ...

$$S_{^{199}\text{Hg}} = [(0.3 \pm 0.4)g_0 + (0.4 \pm 0.8)g_1] e \cdot \text{fm}^3$$

Engel et al. *PPNP* '13

- There is **no power counting** for nuclei with so many nucleons
- Hadronic uncertainties of  $g_0$  and  $g_1$  are underestimated too

## Conclusions

- EDMs are very good probes of New CP-odd Physics
- Probe similar energy scales as LHC
- The first non-vanishing EDM might be detected in a charge-neutral case: *neutrons* or *dia-/ paramagnetic atoms* or *molecules* ...
- However, measurements of light ion EDMs will play a key role in disentangling the sources of CP
- EDM measurements are characteristically of *low-energy nature*:  
→ non-leptonic predictions have to be in the *language of hadrons*  
→ only systematical methods: *ChPT/EFT* and *Lattice QCD*
- EDMs of light nuclei provide *independent information* to nucleon ones and may be even larger and, moreover, even simpler

At least the EDMs of  $p$ ,  $n$ ,  $d$ , and  ${}^3\text{He}$  are needed  
to have a realistic chance to disentangle the underlying physics

## Many thanks to my colleagues

in Jülich: **Jan Bsaisou**, Christoph Hanhart, Susanna Liebig, Ulf-G. Meißner,  
David Minossi, Andreas Nogga, and **Jordy de Vries**

in Bonn: Feng-Kun Guo, Bastian Kubis, Ulf-G. Meißner

and: Werner Bernreuther, **Wouter Dekens**, Bira van Kolck, Kolya Nikolaev

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JHEP 0714 (2014) 069 [arXiv:1404.6082 [hep-ph]].
- J. Bsaisou, C. Hanhart, S. Liebig, U.-G. Meißner, A. Nogga and A.W.,  
*The electric dipole moment of the deuteron from the QCD  $\theta$ -term*,  
Eur. Phys. J. A 49 (2013) 31 [arXiv:1209.6306 [hep-ph]].

# Jump slides

## EW Baryogenesis: Standard Model

Conservation of the EM current under weak ( $L - R$ ) interactions:

$$\begin{aligned}
 & \partial_\mu B_{EM}^\mu \text{---} q_L \text{---} W^\pm \quad + \quad \partial_\mu L_{EM}^\mu \text{---} \ell_L \text{---} W^\mp \\
 & \qquad\qquad\qquad \propto N_c \cdot (Q_u + Q_d) + (0 - 1) = 1 - 1 = 0 \\
 & \hookrightarrow \Delta(Q_B + Q_L) = 0 \text{ (charge conservation!)}
 \end{aligned}$$

# EW Baryogenesis: Standard Model

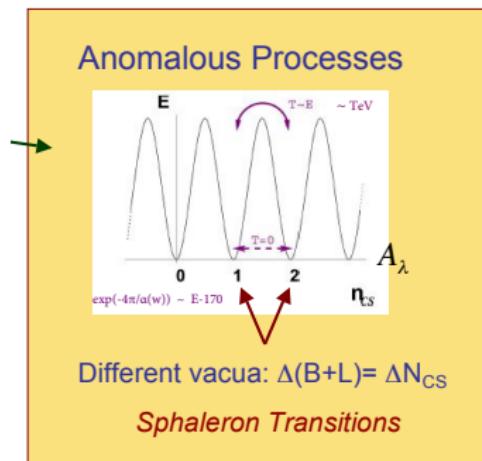
Conservation of the Baryon–Lepton current under ( $L - R$ ) interactions:

$$\partial_\mu B^\mu - \partial_\mu L^\mu = q_L W^+ - \ell_L W^- \propto N_c \cdot 1/3 - 1 = 1 - 1 = 0$$

$\rightarrow \Delta(B - L) = 0 \quad \text{but} \quad \Delta(B + L) \neq 0 !$

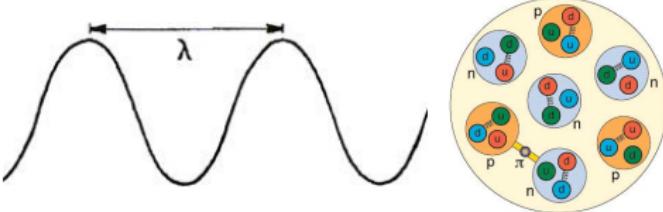
## Sakharov criteria

- 1 B violation ✓  
( $\Delta(B+L) \neq 0$  sphaleron transitions)
- 2 C & CP violation ✗  
(CKM determinant)
- 3 Nonequilibrium dynamics ✗  
(only fast cross over for  $\mu_{chem} = 0$ )



## What are Effective Field Theories (EFT)?

- Different areas in physics describe phenomena at very disparate **scales** (of length, time, energy, mass)
  - Very intuitive idea: scales **much smaller / much bigger** than the ones of interest shouldn't matter much
    - e.g. masses in particle physics:  $m_e \approx 0.511\text{MeV} \dots m_t \sim 180\text{GeV}$  range nearly six orders of magnitude (even without neutrinos)
    - still hydrogen atom spectrum can be calculated very precisely without knowing  $m_t$  at all
- Separation of scales:  $1/k = \lambda \gg R_{\text{substructure}}$



◀ back

## Effective Field Theory: Weinberg's conjecture

Quantum Field Theory has no content besides unitarity, analyticity, cluster decomposition and symmetries

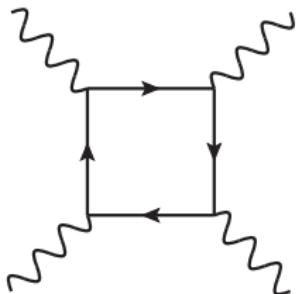
Weinberg 1979

To calculate the S-matrix for any theory below some scale, simply use the most general effective Lagrangian consistent with these principles in terms of the appropriate asymptotic states (i.e. the general S-matrix can be obtained by perturbation theory using some effective lagrangian from the free theory — Witten (2001))

Power-law expand the amplitudes in energy(momentum) / scale.

- Physics at specific energy scale described by active d.o.f.s
- Unresolved substructure incorporated via low-energy const(s)
- Systematic approach  $\leadsto$  estimate of uncertainty possible

## EFT example: light-by-light scattering



Euler, Heisenberg, Kockel (1935/6)

- only one scale:  $m_e$
- consider energies  $\omega \ll m_e$
- $\mathcal{L}_{QED}[\underbrace{\psi, \bar{\psi}}_{\text{matter}}, \underbrace{A_\mu}_{\text{light}}] \rightarrow \mathcal{L}_{\text{eff}}[A_\mu]$
- invariants:  $F_{\mu\nu}F^{\mu\nu} \propto \vec{E}^2 - \vec{B}^2$  &  $F_{\mu\nu}\tilde{F}^{\mu\nu} \propto \vec{E} \cdot \vec{B}$

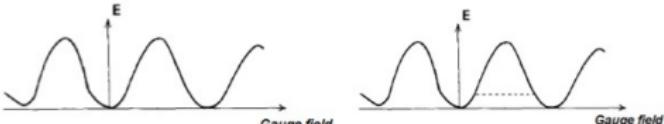
$$\mathcal{L}_{\text{eff}} = \frac{1}{2} (\vec{E}^2 - \vec{B}^2) + \frac{e^4}{16\pi^2 m_e^4} \left[ a (\vec{E}^2 - \vec{B}^2)^2 + b (\vec{E} \cdot \vec{B})^2 \right] + \dots$$

- calculation from the underlying theory, QED, yields  $7a = b = 14/45$
- energy power law expansion:  $(\omega/m_e)^{2n}$
- $\mathcal{L}_{\text{eff}}$  more efficient than full *QED* for calculating cross sections etc.

◀ back

## $\theta$ vacua in strong interaction physics

The topologically non-trivial vacuum structure of QCD



induces **winding number  $n$**  and **strong gauge transformation (instanton)**

$$\Omega_1 : |n\rangle \rightarrow |n+1\rangle$$

Naive vacuum therefore *unstable* (and violates *cluster decomposition*).

Thus true vacuum must be a superposition of the various  $|n\rangle$  vacua

~ **Theta vacuum:**

$$|vac\rangle_\theta = \sum_{n=-\infty}^{+\infty} e^{in\theta} |n\rangle \quad \text{with} \quad \Omega_1 : |vac\rangle_\theta \rightarrow e^{-i\theta} |vac\rangle_\theta \quad (\text{phase shift})$$

Note

$${}_{\theta'} \langle vac | e^{-iHt} | vac \rangle_\theta = \delta_{\theta-\theta'} \times {}_\theta \langle vac | e^{-iHt} | vac \rangle_\theta$$

such that  $\theta$  unique parameter of strong interaction physics which can be absorbed into the effective Lagrangian

$$\mathcal{L}_{\text{eff}} = \mathcal{L} - \frac{\theta}{16\pi^2 g^2} \text{Tr} (G_{\mu\nu} \tilde{G}^{\mu\nu})$$

◀ back

## $\theta$ term

$$\mathcal{L}_{QCD} = \mathcal{L}_{QCD}^{\text{CP}} - \theta \frac{g_s^2}{32\pi^2} \tilde{G}_{\mu\nu}^a G^{a,\mu\nu} = \mathcal{L}_{QCD}^{\text{CP}} - \theta \frac{g_s^2}{32\pi^2} \frac{1}{2} \epsilon^{\mu\nu\rho\sigma} G_{\mu\nu}^a G_{\alpha\beta}^a$$

- Under  $U_A(1)$  rotation of the quark fields  $q_f \rightarrow e^{-i\alpha\gamma_5/2} q_f \approx (1 - i\frac{1}{2}\alpha\gamma_5)q_f$ :

$$\mathcal{L}_{QCD} \rightarrow \mathcal{L}_{QCD}^{\text{CP}} + \alpha \sum_f m_f \bar{q}_f i\gamma_5 q_f - (\theta - N_f \alpha) \frac{g_s^2}{32\pi^2} \tilde{G}_{\mu\nu}^a G^{a,\mu\nu}$$

$$\hookrightarrow \mathcal{L}_{SM}^{\text{strCP}} = \mathcal{L}_{SM}^{\text{CP}} + \bar{\theta} m^* \sum_f \bar{q}_f i\gamma_5 q_f \quad \text{with } \bar{\theta} = \theta + \arg \det \mathcal{M} \text{ and } m^* = \frac{m_u m_d}{m_u + m_d}$$

◀ back

## Strong CP problem: Peccei-Quinn symmetry and axions

R. Peccei & H. Quinn (1977)

Consider adding a new field  $a$  (the axion field) to the QCD action

$$\mathcal{L}_{\text{axion}} = \bar{\psi} (\cancel{M} e^{-ia/f_a}) \psi + \frac{1}{2} \partial_\mu a \partial^\mu a$$

- The axion arises as Goldstone boson of the new broken U(1) symmetry of the quark sector and the Higgs sector.
- Perform further axial U(1) transformation on quark fields to eliminate the  $G\tilde{G}$  term entirely (or to make mass term real again)
  - new phase of quark mass term:  $e^{i(\theta + \arg \det M - a/f_a)}$
  - or instead  $G\tilde{G}$  term becomes:  $(-\theta - \arg \det M + a/f_a) \frac{g_s^2}{16\pi^2} \text{tr } G\tilde{G}$
- Make the trivial U(1) shift  $a \rightarrow a + (\theta + \arg \det M) \times f_a$ .  
The kinetic term is invariant under this shift (axion massless to LO)
- At higher order, the axion acquires its mass as  
 $m_a \approx 0.5 m_\pi f_\pi / f_a$  with  $f_a \gg \langle H \rangle = (\sqrt{2} G_F)^{-1/2} = 247 \text{ GeV}$
- New Problem: frustrating search for a (light) axion !

◀ back

# kHz to MHz Dark Matter Axions or Axion-Like Particles

P.W. Graham & S. Rajendran, PRD 84 (2011) & 88 (2013)

Apply

$$\mathcal{L}_{\text{axion}} = \frac{a}{f_a} \frac{g_s^2}{16\pi^2} \text{tr } G\tilde{G} + \frac{1}{2}\partial_\mu a \partial^\mu a - \frac{1}{2}m_a^2 a^2 \quad \text{with} \quad m_a \approx 0.5 m_\pi f_\pi / f_a$$

and let the axion decay constant  $f_a$  be in the window

$$10^{16} \text{ GeV} \sim M_{\text{GUT}} \lesssim f_a \lesssim M_{\text{Planck}} \sim 10^{19} \text{ GeV}.$$

~ Axions in our galaxy *spatially* constant over a scale of  $\lesssim 500$  km ( $f_a/M_{\text{GUT}}$ ):

↪ Ansatz:  $a(t, \vec{x}) \approx a(t) = a_0 \cos(m_a t)$  in the lab.

▪ Equating  $\frac{1}{2}m_a^2 a_0^2 \sim \rho_{\text{local DM}} \approx 0.2 \text{ GeV/cm}^3$  gives an **axion amplitude** of

$$\theta_a \equiv \frac{a_0}{f_a} \sim \frac{\sqrt{\rho_{\text{local DM}}}}{0.5 m_\pi f_\pi} \sim 3 \times 10^{-19} \xrightarrow[\text{independently of } f_a]{d_n \approx 10^{-16} \theta_a \text{ e cm}} d_n \approx 4 \times 10^{-35} \cos(m_a t) \text{ e cm}$$

with  $m_a \approx 1 \text{ kHz } [M_{\text{Planck}}/f_a]$  to  $1 \text{ MHz } [M_{\text{GUT}}/f_a]$  oscillations.

~ **Bounds on oscillating Axions or ALPs** from storage ring EDM searches ?

## Non-relativistic reduction of

$$\mathcal{H}_{\text{eff}} = -\frac{a_f}{2} \bar{f} \sigma^{\mu\nu} f F_{\mu\nu}, \quad a_f = \frac{F_2(0)}{2m_f}$$

$$-\int d^3x \frac{a_f}{2} \bar{\psi}_f \sigma^{ij} \psi_f F_{ij} + \dots$$

$$\rightarrow -\frac{a_f}{2} \int d^3x \bar{\psi}_f \epsilon^{ijk} \begin{pmatrix} \sigma^k & 0 \\ 0 & \sigma^k \end{pmatrix} \psi_f F_{ij}$$

$$= -\frac{a_f}{2} \int d^3x \bar{\psi}_f \begin{pmatrix} \sigma^k & 0 \\ 0 & \sigma^k \end{pmatrix} \psi_f \underbrace{\epsilon^{ijk} F_{ij}}_{-2B^k}$$

$$\rightarrow a_f \int d^3x \bar{\psi}_f \vec{\sigma} \psi_f \cdot \vec{B}$$

$$= a_f \langle \vec{\sigma} \rangle \cdot \vec{B}$$

$$= a_f g \langle \vec{S} \rangle \cdot \vec{B}, \quad g = 2$$

$$\mathcal{H}_{\text{eff}} = i \frac{d_f}{2} \bar{f} \sigma^{\mu\nu} \gamma_5 f F_{\mu\nu}, \quad d_f \equiv \frac{F_3(0)}{2m_f}$$

$$i \int d^3x \frac{d_f}{2} \bar{\psi}_f \sigma^{0i} \gamma_5 \psi_f F_{0i} \times 2 + \dots$$

$$\rightarrow i d_f \int d^3x \bar{\psi}_f i \begin{pmatrix} 0 & \sigma_i \\ \sigma^i & 0 \end{pmatrix} \gamma_5 \psi_f F^{i0}$$

$$= i d_f \int d^3x \bar{\psi}_f i \begin{pmatrix} \sigma^i & 0 \\ 0 & \sigma^i \end{pmatrix} \psi_f \underbrace{F^{i0}}_{E^i}$$

$$\rightarrow i^2 d_f \int d^3x \bar{\psi}_f \vec{\sigma} \psi_f \cdot \vec{E}$$

$$= -d_f \langle \vec{\sigma} \rangle \cdot \vec{E}$$

$$= -d_f \langle \vec{S}/S \rangle \cdot \vec{E} \quad (\text{linear Stark term})$$

$$\mathcal{H}_{\text{eff}} = i \frac{d_f}{2} \bar{f} \sigma^{\mu\nu} \gamma_5 f F_{\mu\nu} = i \frac{d_f}{2} \bar{f}_L \sigma^{\mu\nu} f_R F_{\mu\nu} - i \frac{d_f}{2} \bar{f}_R \sigma^{\mu\nu} f_L F_{\mu\nu} \sim \text{fermion mass insertion}$$

[◀ back1](#)
[◀ back2](#)

## Construction of the CKM matrix

Since weak interactions do not respect the global flavor symmetry, there is mixing within the groups of quarks with the same charge:

$$U \equiv \begin{pmatrix} u \\ c \\ t \end{pmatrix} \rightarrow \tilde{U} = M_U U, \quad D \equiv \begin{pmatrix} d \\ s \\ b \end{pmatrix} \rightarrow \tilde{D} = M_D D,$$

where  $M_U$  &  $M_D$  are  $3 \times 3$  unitary matrices

$$\hookrightarrow \text{charged weak current: } J_\mu = \bar{\tilde{U}}^\mu \gamma_\mu (1 - \gamma_5) \tilde{D}^\mu = \bar{U} \gamma_\mu (1 - \gamma_5) \underbrace{M_U^\dagger M_D}_\text{CKM matrix } M D.$$

- $M$  unitary  $N_f \times N_f$  matrix for  $N_f$  quark families  $\sim [N_f^2 \text{ real parameters}]$ .
- $2N_f - 1$  of these can be absorbed by the relative phases of the quark wave functions  $\sim [(N_f - 1)^2]$  remaining parameters:
  - $N_f = 2$ : one remaining real parameter: *Cabibbo angle*
  - $N_f = 3$ :  $O(3)$  matrix with  $\frac{1}{2}3 \cdot (3 - 1) = 3$  angles plus 1 CP phase
- Lepton case: neutrinos may be Majoranas:  $\sim 3$  angles plus 3 CP phases
- If phase(s) present,  $M$  complex matrix, whereas CP invariance  $\sim M^* = M$ !

# The symmetries of QCD

$$\mathcal{L}_{\text{QCD}} = -\frac{1}{2} \text{Tr}(G_{\mu\nu} G^{\mu\nu}) + \sum_f \bar{q}_f (\not{D} - m_f) q_f + \dots$$

$$D_\mu = \partial_\mu - ig A_\mu \equiv \partial_\mu - ig A_\mu^a \frac{\lambda^a}{2}, \quad G_{\mu\nu} = \partial_\mu A_\nu - \partial_\nu A_\mu - ig[A_\mu, A_\nu]$$

- Lorentz-invariance, P, C, T invariance,  $SU(3)_c$  gauge invariance
- The masses of the  $u$ ,  $d$ ,  $s$  quarks are **small**:  $m_{u,d,s} \ll 1 \text{ GeV} \approx \Lambda_{\text{hadron}}$ .
- **Chiral** decomposition of quark fields:

$$q = \frac{1}{2}(1 - \gamma_5)q + \frac{1}{2}(1 + \gamma_5)q = q_L + q_R.$$

- For **massless** fermions: left-/right-handed fields do not interact

$$\mathcal{L}[q_L, q_R] = i\bar{q}_L \not{D} q_L + i\bar{q}_R \not{D} q_R - m(\bar{q}_L q_R + \bar{q}_R q_L)$$

and  $\mathcal{L}_{QCD}^0$  invariant under (global) **chiral  $U(3)_L \times U(3)_R$**  transformations:

↪ rewrite  $U(3)_L \times U(3)_R = SU(3)_V \times SU(3)_A \times U(1)_V \times U(1)_A$ .

- $SU(3)_V = SU(3)_{R+L}$ : still conserved for  $m_u = m_d = m_s > 0$
- $U(1)_V = U(1)_{R+L}$ : quark or **baryon number** is conserved
- $U(1)_A = U(1)_{R-L}$ : broken by quantum effects ( $U(1)_A$  anomaly + instantons)

## Hidden Symmetry and Goldstone Bosons

$[Q_V^a, H] = 0$ , and  $e^{-iQ_V^a}|0\rangle = |0\rangle \Leftrightarrow Q_V^a|0\rangle = 0$  (Wigner-Weyl realization)

$[Q_A^a, H] = 0$ , but  $e^{-iQ_A^a}|0\rangle \neq |0\rangle \Leftrightarrow Q_A^a|0\rangle \neq 0$  (Nambu-Goldstone realiz.)

- Consequence:  $e^{-iQ_A^a}|0\rangle \neq |0\rangle$  is not the vacuum, but

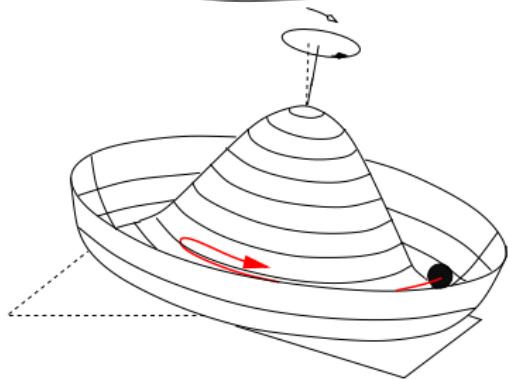
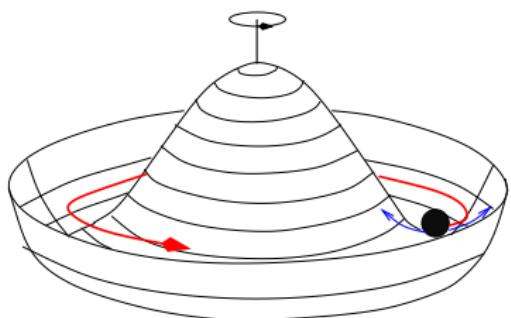
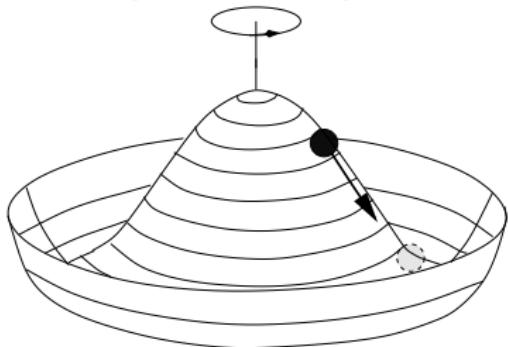
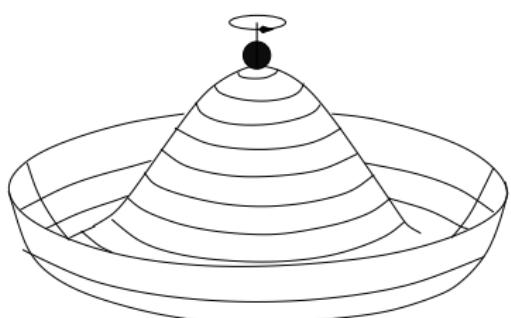
$$H e^{-iQ_A^a}|0\rangle = e^{-iQ_A^a}H|0\rangle = 0 \quad \text{is a massless state!}$$

**Goldstone theorem:** continuous global symmetry that does *not* leave the ground state invariant ('hidden' or 'spontaneously broken' symm.)

- mass- and spinless particles, "**Goldstone bosons**" (GBs)
- number of GBs = number of broken symmetry generators
- axial** generators broken  $\Rightarrow$  GBs should be **pseudoscalars**
- finite masses via (small) quark masses  
 $\hookrightarrow$  8 lightest hadrons:  $\pi^\pm, \pi^0, K^\pm, K^0, \bar{K}^0, \eta$  (not  $\eta'$ )
- Goldstone bosons **decouple** (non-interacting) at vanishing energy

 back

## Illustration: spontaneous symmetry breaking (SSB)



◀ back

# Decoupling theorem of Goldstone bosons

Goldstone bosons do not interact at zero energy/momentum

1  $Q_A^a|0\rangle \neq 0 \Rightarrow Q_A^a$  creates GB  $\Rightarrow \langle \pi^a | Q_A^a | 0 \rangle \neq 0$ .

2 Lorentz invariance  $\sim \langle \pi^a(q) | A_b^\mu(x) | 0 \rangle = -if_\pi q^\mu \delta_b^a e^{iq \cdot x} \neq 0$ !

$A_b^\mu$  axial current

$\rightarrow f_\pi \neq 0$  necessary for SSB (order parameter)

(pion decay constant  $f_\pi = 92$  MeV from weak decay  $\pi^+ \rightarrow \mu^+ \nu_\mu$ )

3 Coupling of axial current  $A_\mu$  to matter fields (and/or pions)

$$iA^\mu = \begin{array}{c} \text{wavy line} \\ \text{--- circle} \\ A_\mu \end{array} + \begin{array}{c} \text{wavy line} \\ \text{--- blue circle} \\ A_\mu \end{array} \cdots \begin{array}{c} \text{red circle} \\ \text{--- dashed line} \\ \pi \end{array} + \begin{array}{c} \text{wavy line} \\ \text{--- red circle} \\ A_\mu \end{array}$$

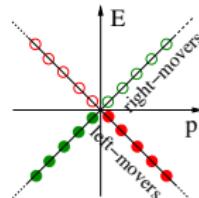
$$= i\mathcal{R}^\mu \text{ (non-sing.)} + -if_\pi q^\mu \frac{i}{q^2 - m_\pi^2 + i\epsilon} V \quad (V: \text{coupling of GB to matter fields})$$

4 Conservation of axial current  $\partial_\mu A_b^\mu(x) = 0: \Rightarrow m_\pi^2 = 0$  and  $q_\mu A^\mu = 0:$

$$0 = q_\mu \mathcal{R}^\mu - f_\pi \frac{q^2}{q^2} V \xrightarrow{q \rightarrow 0} 0 = -f_\pi \lim_{q \rightarrow 0} V \xrightarrow{f_\pi \neq 0} \lim_{q \rightarrow 0} V = 0 \Rightarrow \text{decoupling!}$$

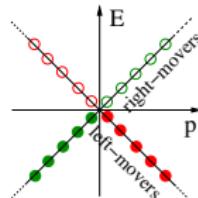
## $U_A(1)$ anomaly in 1+1 D

- 1 Dispersion for massless fermions in 1+1 D:



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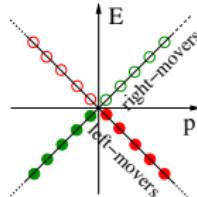
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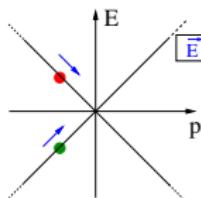
- 2 Add electric field to a single right/left-movers:

## $U_A(1)$ anomaly in 1+1 D

- 1 Dispersion for massless fermions in 1+1 D:



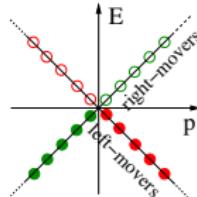
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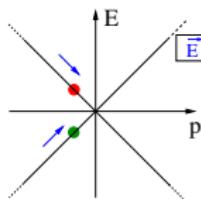
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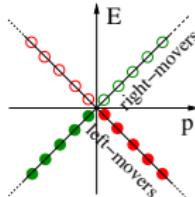
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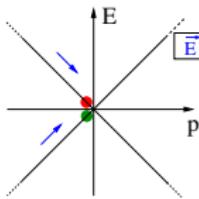
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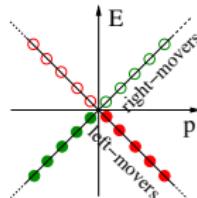
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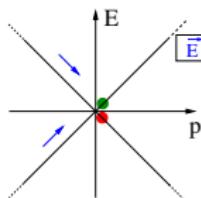
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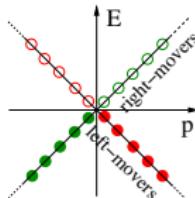
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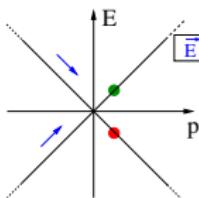
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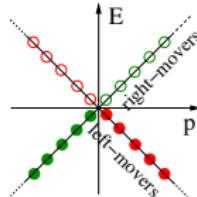
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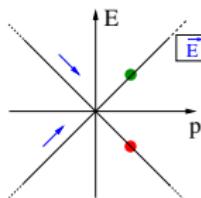
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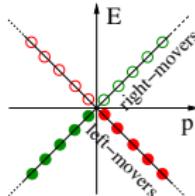
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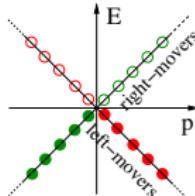


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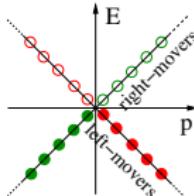
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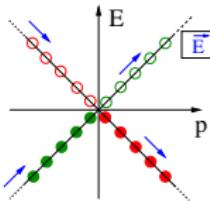
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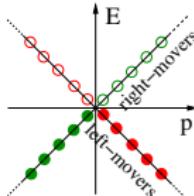
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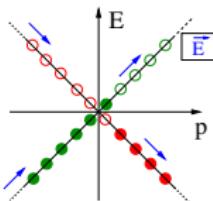
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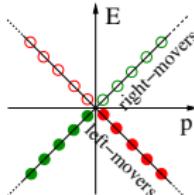
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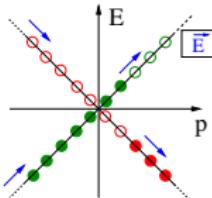
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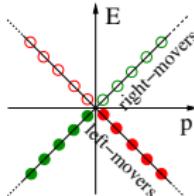
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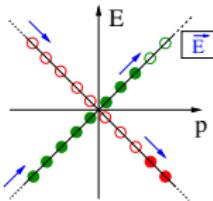
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