

Hyperon-nucleon interaction in chiral effective field theory

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(Hoai Le, Ulf-G. Meißner, Andreas Nogga)

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Hyperon physics - recent developments

- Role of **hyperons** in **neutron stars** (“**hyperon puzzle**”)
Neutron stars with masses $\geq 2M_{\odot} \Rightarrow$ stiff equation of state (EoS)
With increasing density $n \rightarrow \Lambda \Rightarrow$ softening of the EoS
 \Rightarrow Conventional explanations of observed mass-radius relation fail
- **New measurements** of Λp cross sections by the **CLAS Collaboration** at **JLab**
New extended measurements of ΣN observables in the **E40 experiment** at **J-PARC**
differential cross sections for $\Sigma^+ p, \Sigma^- p$
- **Measurements** of **two-particle momentum correlation functions** by the **STAR, HADES, and ALICE Collaborations**
($\Lambda p, \Lambda \Lambda, \Xi^- p, \dots$)
- **HAL QCD: Lattice QCD** simulations for YN interactions for quark masses close to the physical point ($M_{\pi} \approx 145$ MeV)
- Progress in *ab initio* methods like **no-core shell model (NCSM)**
microscopic calculations of **hypernuclei** up to $A \geq 10$

BB interaction in chiral effective field theory

Baryon-baryon interaction in $SU(3)$ χ EFT à la Weinberg (1990)

Advantages:

- Power counting
systematic improvement by going to higher order
- Possibility to derive two- and three-baryon forces and external current operators in a consistent way
- degrees of freedom: octet baryons (N , Λ , Σ , Ξ), pseudoscalar mesons (π , K , η)
- pseudoscalar-meson exchanges
- contact terms – represent unresolved short-distance dynamics involve low-energy constants (LECs) that need to be fixed by a fit to data

ΛN - ΣN interaction

LO: H. Polinder, J.H., U.-G. Meißner, NPA 779 (2006) 244

NLO13: J.H., S. Petschauer, N. Kaiser, U.-G. Meißner, A. Nogga, W. Weise, NPA 915 (2013) 24

NLO19: J.H., U.-G. Meißner, A. Nogga, EPJA 56 (2020) 91

SMS NLO, N²LO: J.H., U.-G. Meißner, A. Nogga, H. Le, EPJA 59 (2023) 63

(BB systems with strangeness $S = -1$ to -6)

Extension of **chiral** EFT interaction up to $N^2\text{LO}$

(Nucleon-nucleon forces in **chiral** EFT (E. Epelbaum))

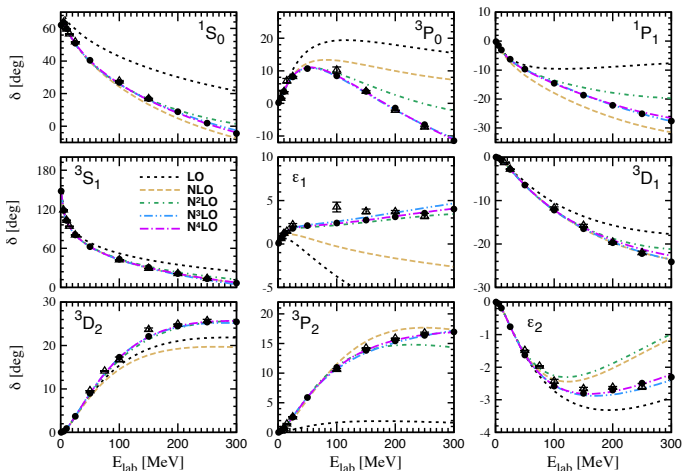
	Two-nucleon force	Three-nucleon force	Four-nucleon force
LO (Q^0)			
NLO (Q^2)			
$N^2\text{LO}$ (Q^3)			
$N^3\text{LO}$ (Q^4)			

$N^2\text{LO}$: no new (additional) **BB** LECs in the two-body sector

leading-order three-body forces (**3BFs**)

NN interaction in chiral EFT

Semilocal momentum-space (SMS) regularized chiral NN potential



(Reinert, Krebs, Epelbaum, EPJA 54 (2018) 86) [up to $N^4\text{LO}$ ($N^4\text{LO}^+$) !!]

LO to NLO: drastic change in all partial waves

NLO to $N^2\text{LO}$: changes mostly in P -waves and higher partial waves

chiral YN potential up to N^2 LO

adopt the framework of Reinert, Krebs, Epelbaum, EPJA 54 (2018) 86:
“Semilocal momentum-space regularized (SMS) chiral NN potentials”

- employ a regulator that minimizes artifacts from cutoff Λ

nonlocal cutoff ($\vec{q} = \vec{p}' - \vec{p}$)

$$V_{1\pi}^{\text{reg}} \propto \frac{e^{-\frac{p'^4 + p^4}{\Lambda^4}}}{\vec{q}^2 + M_\pi^2} \rightarrow \frac{1}{\vec{q}^2 + M_\pi^2} \left[1 - \frac{p'^4 + p^4}{\Lambda^4} + \mathcal{O}(\Lambda^{-8}) \right]$$

local cutoff:

$$V_{1\pi}^{\text{reg}} \propto \frac{e^{-\frac{\vec{q}^2 + M_\pi^2}{\Lambda^2}}}{\vec{q}^2 + M_\pi^2} \rightarrow \frac{1}{\vec{q}^2 + M_\pi^2} - \frac{1}{\Lambda^2} + \frac{\vec{q}^2 + M_\pi^2}{\Lambda^4} + \dots$$

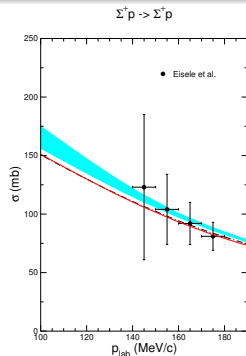
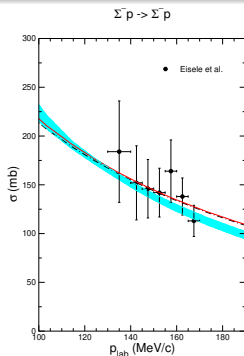
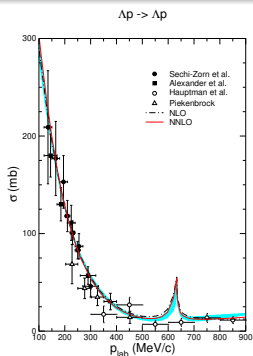
does not affect long-range physics at any order in the $1/\Lambda^2$ expansion

applicable to 2π exchange too:

$$V_{2\pi} = \frac{2}{\pi} \int_{2M_\pi}^{\infty} \mu d\mu \frac{\rho(\mu)}{\vec{q}^2 + \mu^2} \rightarrow V_{2\pi}^{\text{reg}} = e^{-\frac{\vec{q}^2}{2\Lambda^2}} \frac{2}{\pi} \int_{2M_\pi}^{\infty} \mu d\mu \frac{\rho(\mu)}{\vec{q}^2 + \mu^2} e^{-\frac{\mu^2}{2\Lambda^2}} + \dots$$

NN : $\Lambda = 350\text{-}550$ MeV (π) YN : $\Lambda = 500\text{-}600$ MeV (π, K, η)

Results for SMS ΥN interactions



SMS ΥN potentials up to NLO, N^2 LO (with $\Lambda = 550$ MeV)

(J.H., U.-G. Meißner, A. Nogga, H. Le, EPJ A 59 (2023) 63)

NLO19: J.H., U.-G. Meißner, A. Nogga, EPJA 56 (2020) 91

quality of the fit – total χ^2 (36 data points):

NLO19(600): 16.0

SMS NLO: 15.2

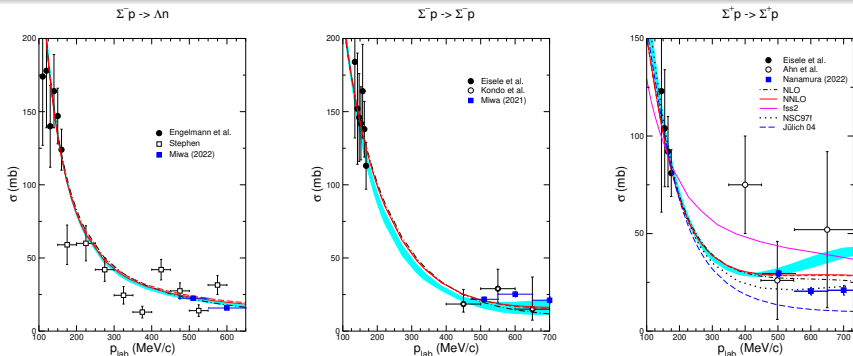
SMS N^2 LO: 15.6

cross sections dominated by S -waves (are already well described at NLO)

→ (as expected) practically no change when going to N^2 LO



Results for ΣN interactions



integrated cross sections at higher energies not included in the fitting process!

$\Sigma^+ p \rightarrow \Sigma^+ p$ and $\Sigma^- p \rightarrow \Sigma^- p$ cross sections:

$$\sigma = \frac{2}{\cos \theta_{\max} - \cos \theta_{\min}} \int_{\cos \theta_{\min}}^{\cos \theta_{\max}} \frac{d\sigma(\theta)}{d \cos \theta} d \cos \theta$$

$$\cos \theta_{\min} = -0.5; \cos \theta_{\max} = 0.5$$

fss2 ... Fujiwara et al. (constituent quark model)

Jülich 04, Nijmegen NSC97f ... meson-exchange potentials

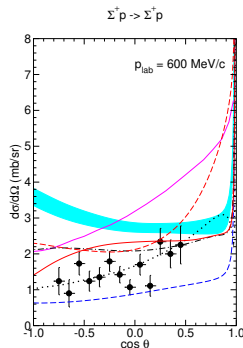
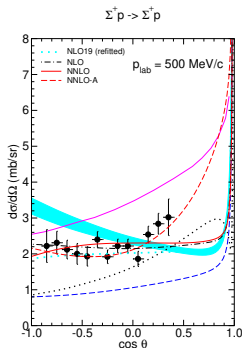
Results for SMS ΥN interactions

$\Sigma^+ p$

T. Nanamura et al.,
PTEP 2022 (2022) 093D01

$440 \leq p_{lab} \leq 550$ MeV/c
($T_{lab} \approx 100$ MeV)

$550 \leq p_{lab} \leq 650$ MeV/c
($T_{lab} \approx 150$ MeV)



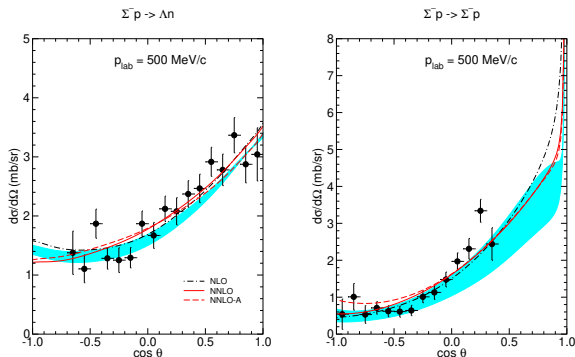
LECs in the 1S_0 , 3S_1 - 3D_1 fixed from low-energy ΥN cross sections

SMS NLO: LECs in 3P -waves taken over from NN fit (RKE)
(strict SU(3) symmetry: $V_{NN} \equiv V_{\Sigma^+ p}$ in the 1S_0 , $^3P_{0,1,2}$ partial waves!)

SMS N²LO: LECs in P -waves fitted to the E40 data (two trials)!

data suggest a drop from $440 \leq p \leq 550$ MeV/c to $550 \leq p \leq 650$ MeV/c!
effect of $\Lambda p \pi^+$ threshold (≈ 600 MeV/c)?

Results for SMS YN interactions



$\Sigma^- p \rightarrow \Lambda n$: quite well reproduced by NLO19 (NLO13) and SMS YN potentials

$\Sigma^- p \rightarrow \Sigma^- p$: behavior at forward angles remains unclear

$\Sigma^- p$ and $\Sigma^- p \rightarrow \Lambda n$ data for ($550 \leq p \leq 650$) MeV/c are reproduced with comparable quality

- no unique determination of all P -wave LECs possible
- one needs data from additional channels (Λp , $\Sigma^- p \rightarrow \Sigma^0 n$, ...)
- one needs additional differential observables (polarizations, ...)

Hypernuclei within the no-core shell model (NCSM)

Basic idea: use harmonic oscillator states and **soft interactions**

- m-scheme uses single particle states (center-of-mass motion not separated)
- antisymmetrization for nucleons easily performed (Slater determinant)
- larger dimensions
(applications to p -shell hypernuclei by Wirth & Roth; $A \leq 13$)

Jacobi-NCSM

- uses relative (Jacobi) coordinates (Hoai Le et al., EPJA 56 (2020) 301)
- explicit separation of center-of-mass motion possible
- antisymmetrization for nucleons difficult but feasible for $A \leq 9$
- small dimensions

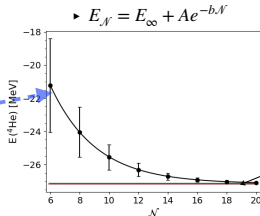
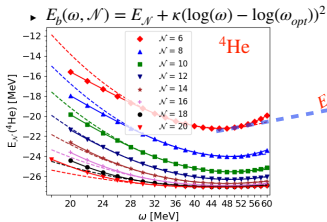
Soft interactions: Similarity renormalization group (SRG) (**unitary transformation**)

$$\frac{dH(s)}{ds} = [[T, H(s)], H(s)] \quad H(s) = T + V(s) \quad V(s) : V^{NN}(s), V^{YN}(s)$$

- **Flow equations** are solved in momentum space
- parameter (cutoff) $\lambda = \left(4\mu_{BN}^2/s\right)^{1/4}$ is a measure of the width of the interaction in momentum space
- $V(s)$ is **phase equivalent** to original interaction
- transformation leads to **induced 3BFs, 4BFs, ...**
(induced 3BFs included in the work of Wirth & Roth and in our recent studies)
(induced 4BFs are most likely very small)

slide from Hoai Le:

- extrapolation of energies:



NN: SMS N⁴LO⁺(450)

$\lambda = 7 \text{ fm}^{-1}$

$E_{FY} = -27.15 \pm 0.02 \text{ MeV}$

$E_{\infty} = -27.146 \pm 0.062 \text{ MeV}$

- ▶ lowest $E_{\mathcal{N}, \omega_{opt}}$ are used for \mathcal{N} -space extrapolation ✓

- ▶ estimated uncertainties are rather conservative

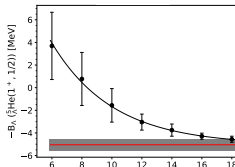
- extrapolation of Λ separation energies: $B_{\Lambda} = E_{nucl} - E_{hyp}$

- ▶ strong correlations between $E_{nucl}(\mathcal{N})$, $E_{hypnucl}(\mathcal{N})$

→ $B_{\Lambda, \mathcal{N}} = E_{nucl}(\mathcal{N}) - E_{hypnucl}(\mathcal{N})$

$B_{\Lambda, \mathcal{N}} = B_{\Lambda, \infty} + A_1 e^{-b_1/\mathcal{N}}$

HL, J. Haidenbauer, U.-G. Meißner, A. Nogga EPJA 56 (2020)



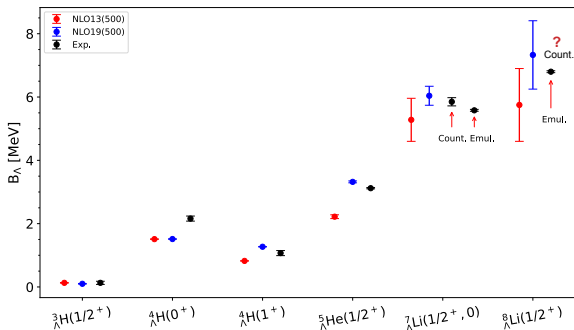
YN: SMS N²LO(550)

$\lambda_{YN} = 7 \text{ fm}^{-1}$

Results for $B_{\Lambda}(A \leq 8)$

Hoai Le et al., PRC 107 (2023) 024002

- NLO13 and NLO19 are almost phase equivalent
- NLO13 characterized by a stronger ΛN - ΣN coupling potential (3S_1 - 3D_1)



Experiment: M. Jurič et al. NPB 52 (1973); E.Botta et al., NPA 960 (2017) 165

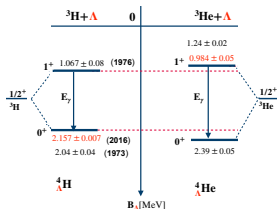
NN: SMS $N^4\text{LO}^+(450)$ + 3NF: $N^2\text{LO}(450)$

YN : NLO13(19) + SRG-induced YNN force – but no chiral YNN forces!

- NLO13 underestimates separation energies
- NLO19 describes ${}^4_{\Lambda}\text{He}(1^+)$, ${}^5_{\Lambda}\text{He}$, ${}^7_{\Lambda}\text{Li}$ fairly well

Charge symmetry breaking in the ΛN interaction

CSB in the ${}^4_\Lambda\text{He} - {}^4_\Lambda\text{H}$ hypernuclei



Schulz et al (2016); Yamamoto et al (2015);
Juric et al (1973); Bedjidian et al (1976,1979)

$$\Delta E(1^+) = B_{\Lambda}({}^4_\Lambda\text{He}, 1^+) - B_{\Lambda}({}^4_\Lambda\text{H}, 1^+) = -83 \pm 94 \text{ keV}$$

$$\Delta E(0^+) = B_{\Lambda}({}^4_\Lambda\text{He}, 0^+) - B_{\Lambda}({}^4_\Lambda\text{H}, 0^+) = 233 \pm 92 \text{ keV}$$

$$\Delta E({}^3\text{H}, {}^3\text{He}) \sim 683 + 81 \text{ keV (R. Brandenburg et al NPA 294(1978))}$$

Coulomb \uparrow \uparrow $\Delta M(p, n)$

CSB YN interactions at NLO (J. Haidenbauer, U.-G. Meißner, A. Nogga FBS 62(2021))

- sub-leading contributions are dominant:

$$f_{\Lambda\Lambda\pi} = \left[-2 \frac{\langle \Sigma^0 | \delta m | \Lambda \rangle}{m_{\Sigma^0} - m_{\Lambda}} + \frac{\langle \pi^0 | \delta M^2 | \eta \rangle}{M_{\pi}^2 - M_{\eta}^2} \right] f_{\Lambda\Sigma\pi}$$

(Dalitz, von Hippel, 1964)



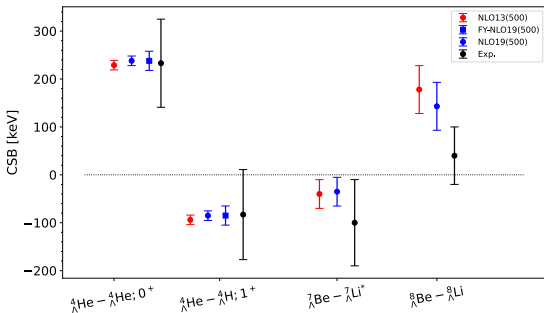
C_s^{CSB}, C_t^{CSB} adjusted to $\Delta E(0^+, 1^+)$

(fm/keV)	a_s^{Ap}	a_s^{An}	δa_s	a_t^{Ap}	a_t^{An}	δa_t
NLO19(500)	-2.91	-2.91	0	-1.42	-1.41	-0.01
no CSB						
CSB(500)	-2.65	-3.20	0.55	-1.58	-1.47	-0.11
CSB(550)	-2.64	-3.21	0.57	-1.52	-1.41	-0.11
CSB(600)	-2.63	-3.23	0.6	-1.47	-1.36	-0.09
CSB(650)	-2.62	-3.23	0.61	-1.46	-1.37	-0.09

\rightarrow cutoff (and YN) independent prediction for $a(\Lambda n)$

CSB results for A=4,7,8 hypernuclei

Hoai Le, J.H., U.-G. Meißner, A. Nogga, PRC 107 (2023) 024002



NN:SMS N⁴LO+(450)

+3N: N²LO(450)

+YN: NLO13,19(CSB)

+SRG-induced YNN

- NLO13 & NLO19 CSB results for A=7 are comparable to experiment.
- two potentials predict a somewhat larger CSB in A=8 doublet as compared to experiment

→ experimental CS splitting for A=8 could be **larger than 40 ± 60 keV?**

• CSB estimate for A = 4 too large? different spin-dependence?

STAR Collaboration (M. Abdallah et al., PLB 834 (2022) 137449)

$$\Delta B_{\Lambda}({}^4_{\Lambda}\text{He} - {}^4_{\Lambda}\text{H}; 0^+) = 160 \pm 140 \text{ keV}; \quad \Delta B_{\Lambda}({}^4_{\Lambda}\text{He} - {}^4_{\Lambda}\text{H}; 1^+) = -160 \pm 140 \text{ keV}$$

Separation energies for $A=3-8$ Λ hypernuclei (MeV)

- NLO13(19), SMS NLO, N²LO are phase equivalent ($\chi^2 \approx 16$ for 36 YN data points)
- NLO13 characterized by a stronger ΛN - ΣN coupling potential (3S_1 - 3D_1)

	${}^3\Lambda\text{H}$ [Faddeev]	${}^4\Lambda\text{He}(0^+)$	${}^4\Lambda\text{He}(1^+)$	${}^5\Lambda\text{He}$	${}^7\Lambda\text{Li}$	${}^8\Lambda\text{Li}$
NLO13	0.090	1.48 ± 0.02	0.58 ± 0.02	2.22 ± 0.06	5.28 ± 0.68	5.75 ± 1.08
NLO19	0.091	1.46 ± 0.02	1.06 ± 0.02	3.32 ± 0.03	6.04 ± 0.30	7.33 ± 1.15
SMS NLO	0.124	2.10 ± 0.02	1.10 ± 0.02	3.34 ± 0.01		
SMS N ² LO	0.139	2.02 ± 0.02	1.25 ± 0.02	3.71 ± 0.01		
Exp.*	0.164 ± 0.04	2.347 ± 0.036	0.942 ± 0.036	3.102 ± 0.03	5.85 ± 0.13 5.58 ± 0.03	6.80 ± 0.03

NLO19 (600): ${}^4\Lambda\text{He}(1^+)$, ${}^5\Lambda\text{He}$, ${}^7\Lambda\text{Li}$ fairly well described

NLO13 (600) underestimates most separation energies

SMS NLO, N²LO (550): ${}^4\Lambda\text{He}(0^+, 1^+)$, ${}^5\Lambda\text{He}$ fairly well described

(${}^3\Lambda\text{H}$ is used to constrain the strength of the ΛN singlet/triplet interaction!)

are the variations due to (missing) chiral YNN forces?

chiral YNN forces appear at N²LO

⇒ estimate size of YNN forces from truncation error in the chiral expansion

* Chart of Hypernuclides <https://hypernuclei.kph.uni-mainz.de/>

Uncertainty quantification for EFTs

- **Uncertainty for a given observable** $X(p)$:

(EKM: Epelbaum, Krebs, Meißner, EPJA 51 (2015) 53, S. Binder et al., PRC 93 (2016) 044002)

estimate uncertainty via

- the expected size of higher-order corrections
- the actual size of higher-order corrections

$$\begin{aligned}\Delta X^{LO} &= Q^2 |X^{LO}| \quad (X^{NLO} \approx Q^2 X^{LO}) \quad \text{expansion parameter : } Q \sim M_\pi / \Lambda_b \approx 140/600 \\ \Delta X^{NLO} &= \max(Q^3 |X^{LO}|, Q^1 |\delta X^{NLO}|); \quad \delta X^{NLO} = X^{NLO} - X^{LO} \\ \Delta X^{N^2LO} &= \max(Q^4 |X^{LO}|, Q^2 |\delta X^{NLO}|, Q^1 |\delta X^{N^2LO}|); \quad \delta X^{N^2LO} = X^{N^2LO} - X^{NLO} \\ &\dots\end{aligned}$$

- **Bayesian approach** (Furnstahl, Klco, Phillips, Melendez):

(Furnstahl et al., PRC 92 (2015) 024005; Melendez et al., PRC 100 (2019) 044001)

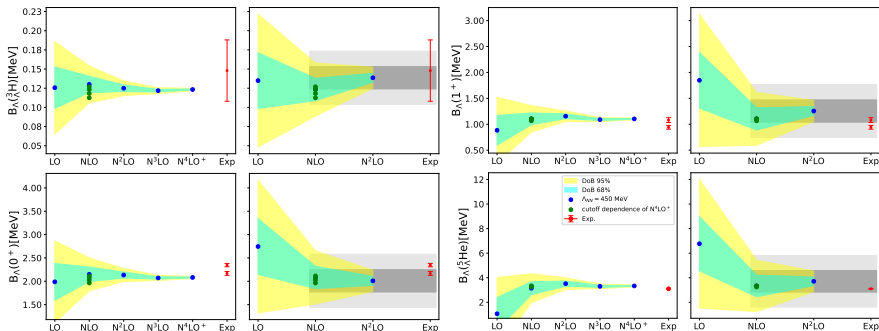
$$\begin{aligned}X^{(k)} &= X^{(0)} + \sum_{i=2}^k \delta X^{(i)} =: X_{ref}(c_0 + c_2 Q^2 + c_3 Q^3 + \dots) \\ \Delta X^{(k)} &= X_{ref} \left(\sum_{n=k+1}^{\infty} c_n Q^n \right); \quad c_n \sim \mathcal{O}(1); \quad c_n | \bar{c}^2 \sim \mathcal{N}(0, \bar{c}^2); \quad \bar{c}^2 \sim \chi^{-2}(\nu_0, \tau_0^2)\end{aligned}$$

\bar{c}^2 ... marginal variance; ν_0 ... prior degrees-of-freedom; τ_0^2 ... prior scale (pointwise model)

Q, \bar{c}^2 , etc. ... deduced from order-by-order calculations, prior expectations, consistency plots

Truncation error within the Bayesian approach

Hoai Le et al., arXiv:2308.01756



- **NN**: SMS LO - N⁴LO⁺ (+ N²LO NNN force)
- **YN**: SMS LO, NLO, N²LO
- excellent convergence for NN interaction
- uncertainty is dominated by the truncation in YN interaction
- effect of YNN 3BF \simeq half of 68% DoB interval for NLO result

Truncation error for separation energies B_Λ (MeV)

Truncation error at NLO provides an estimate (upper limit) for the contribution of the leading order ΛNN (and ΣNN) 3BF to the separation energies B_Λ

$$\Delta X^{NLO} \sim |X_{YN}^{N^2LO} - X_{YN}^{NLO}|, |X_{YNN}^{N^2LO}|$$

	Bayesian approach		EKM			
	$\Delta_{68}(NN)$	$\Delta_{68}(YN)$	$\Delta(NN)$	$\Delta(YN)$	$\Delta(NN)$	$\Delta(YN)$
			Q = 0.31		Q = 0.40	
${}^3_\Lambda\text{H}$	0.01	0.02	0.01	0.02	0.01	0.02
${}^4_\Lambda\text{He} (0^+)$	0.16	0.24	0.06	0.30	0.13	0.39
${}^4_\Lambda\text{He} (1^+)$	0.11	0.21	0.07	0.36	0.09	0.47
${}^5_\Lambda\text{He}$	0.53	0.88	0.64	1.1	0.83	1.4

⇒ expect YNN 3BF contributions of 20 keV (${}^3_\Lambda\text{H}$), 250 keV (${}^4_\Lambda\text{H}$, ${}^4_\Lambda\text{He}$), 900 keV (${}^5_\Lambda\text{He}$)

Situation for the hypertriton

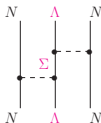
Experiment: 164 ± 40 keV (Mainz), 406 ± 120 keV (STAR), 102 ± 63 keV (ALICE)

● **Bayesian approach:** ΔB_Λ (3BF) ≤ 20 keV

(a) **cutoff variation:** ΔB_Λ (3BF) ≤ 50 keV

(b) “pseudo 3BF” from ΛN - ΣN coupling:

switch off ΛN - ΣN coupling
in Faddeev-Yakubovsky equations:
 ΔB_Λ (3BF) ≈ 10 keV



(c) ${}^3\text{H}$: $3\text{NF} \sim Q^3 |\langle V_{NN} \rangle|_{3\text{H}} \sim 650$ keV

($|\langle V_{NN} \rangle|_{3\text{H}} \sim 50$ MeV; $Q \sim M_\pi / \Lambda_b$; $\Lambda_b \simeq 600$ MeV)

${}^3\text{H}$: $|\langle V_{\Lambda N} \rangle|_{3\text{H}} \sim 3$ MeV $\rightarrow \Delta B_\Lambda$ (3BF) $\approx Q^3 |\langle V_{\Lambda N} \rangle|_{3\text{H}} \simeq 40$ keV

Kamada et al. (PRC 108 (2023) 024004): explicit inclusion of 2π exchange ΛNN 3BF

$\Rightarrow \Delta B_\Lambda \approx 20$ keV (and **repulsive!**) (based on NLO13, NLO19)

Jülich-Bonn-Munich: $B_\Lambda({}^3\text{H})$ is used as **constraint** to fix the relative strength of the ΛN interaction in the singlet (1S_0) and triplet (3S_1) states

\Rightarrow justified since the 3BF contribution is small

Note: root-mean-square radius of ${}^3\text{H}$: $\sqrt{\langle r^2 \rangle} \approx 5$ fm (deuteron: $\sqrt{\langle r^2 \rangle} \approx 2$ fm)

\Rightarrow most of the time Λ and two Ns are outside of the range of a standard 3BF!

Three-body forces are not observables!

two-body off-shell ambiguities \Leftrightarrow three-body forces (Polyzou & Glöckle, 1990)

depend on degrees of freedom considered in the calculations
(N , Λ only ... or Σ , Δ , Σ^* , ...)

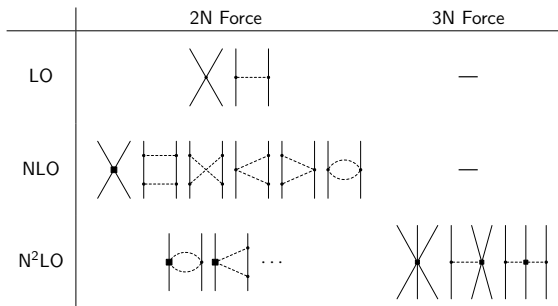
different degrees of freedom in the effective field theory

	pionless	chiral	chiral+ Δ
LO		—	—
NLO	—	—	
N ² LO			

- different counting schemes
- different hierarchy of 3BFs

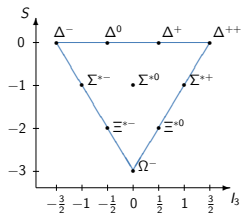
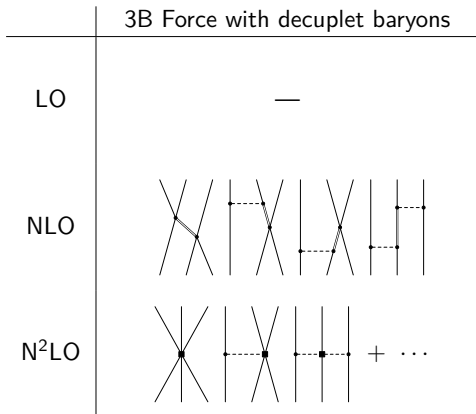
(Hammer, Nogga, Schwenk, Rev. Mod. Phys. 85 (2013) 197)

Three-baryon forces in chiral EFT



- **3N force** (van Kolck, PRC 49 (1994) 2932; ... E. Epelbaum et al., PRC 66 (2002) 064001)
 - 2 LECs** in **3N force**: D (c_D), E (c_E) \rightarrow have to be fixed in **3N sector** (e.g., ^3H binding energy + ^4He binding energy)
 - (2π exchange **3N force**: c_1, c_3, c_4 ... fixed from πN scattering)
 - number of **LECs** small because of the **Pauli principle**
- **BBB force** in **SU(3) chiral EFT** (S. Petschauer et al., PRC 93 (2016) 014001)
 - BBB contact terms**: **18 LECs** (ΛNN : **3 LECs**)
 - one-meson exchange terms**: **14 LECs** (ΛNN : **2 LECs**)
 - two-meson exchange terms**: **10 LECs** ... ($b_0, b_D, b_F, b_{1,2,3,4}, d_{1,2,3}$)

Three-baryon forces with decuplet baryons

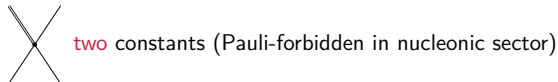
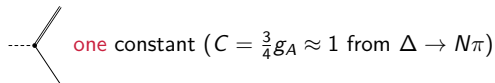


NNN: inclusion of the $\Delta(1232)$ resonance

Epelbaum, Krebs, Meißner, NPA 806 (2008) 65; Epelbaum, Hammer, Meißner, Rev. Mod. Phys. 81 (2009) 1773

Decuplet (resonance) saturation + SU(3) symmetry

- new vertices:



tensor products in *flavor* space

and in *spin* space

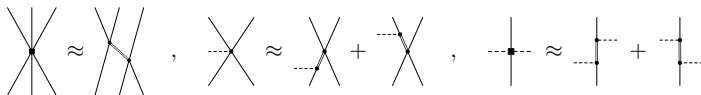
final state $\mathbf{10} \otimes \mathbf{8} = \mathbf{35} \oplus \mathbf{27} \oplus \mathbf{10} \oplus \mathbf{8}$

$3/2 \otimes 1/2 = \mathbf{1} \oplus \mathbf{2}$

initial state $\mathbf{8} \otimes \mathbf{8} = \underbrace{\mathbf{27} \oplus \mathbf{8}_s \oplus \mathbf{1}}_{\text{symmetric}} \oplus \underbrace{\mathbf{10} \oplus \overline{\mathbf{10}} \oplus \mathbf{8}_a}_{\text{antisymmetric}}$

$1/2 \otimes 1/2 = \underbrace{\mathbf{0}}_{\text{a.sym.}} \oplus \underbrace{\mathbf{1}}_{\text{sym.}}$

- estimate chiral three-baryon forces via decuplet saturation:



ΛNN : 1 LEC ($\Lambda N \leftrightarrow \Sigma(1385)N$ contact term)

ΛNN - ΣNN , ΣNN : 1 additional LEC ($\Sigma N \leftrightarrow \Sigma(1385)N$ contact term)

\Rightarrow 3BF involves only 2 LECs ... to be fixed from $B_{\Lambda}({}^4\text{H})$, ...

Summary

Hyperon-nucleon interaction within chiral EFT

- ΛN - ΣN interaction within semilocal momentum-space regularized chiral EFT confirm our previous YN results (up to NLO) based on a nonlocal regulator successful extension to N²LO
new $\Sigma^\pm p$ differential cross sections around $p_{lab} \approx 500$ MeV/c can be described
unique determination of the P -waves is not yet possible

Hypernuclei

- three-body forces: are small for ${}^3_\Lambda\text{H}$, as expected
moderate for ${}^4_\Lambda\text{H}$, ${}^4_\Lambda\text{He}$, ${}^5_\Lambda\text{He}$... needs to be quantified/confirmed by explicit inclusion of 3BFs
→ LECs of 3BF could be fixed from $B({}^4_\Lambda\text{H})$, ...
- charge-symmetry breaking in ${}^4_\Lambda\text{H} - {}^4_\Lambda\text{He}$
can be reproduced when taking into account the full leading CSB potential within chiral EFT
- charge-symmetry breaking in $A = 7 - 8$ Λ -hypernuclei
predicted CSB splitting for ${}^7_\Lambda\text{Be}$, ${}^7_\Lambda\text{Li}^*$, ${}^7_\Lambda\text{He}$ is in line with experiments
CSB splitting for ${}^8_\Lambda\text{Be}$, ${}^8_\Lambda\text{Li}$ is overestimated

Λp momentum correlation functions

- ALICE: is the Λp interaction possibly somewhat weaker than what the cross section data from the 1960ies suggest (Mihaylov, Korwieser, EPJC 83 (2023) 590)

Consider new Star measurement

STAR Collaboration (M. Abdallah et al.), PLB 834 (2022) 137449

Recent Star measurement suggests somewhat different CSB in A=4:

$$\begin{aligned}\Delta E(1^+) &= B_{\Lambda(\Lambda^4\text{He}, 1^+)} - B_{\Lambda(\Lambda^4\text{H}, 1^+)} \\ &= -83 \pm 94 \text{ keV} \Rightarrow \text{(CSB)} \\ &= -160 \pm 140 \pm 100 \text{ keV} \Rightarrow \text{(CSB*)}\end{aligned}$$

$$\begin{aligned}\Delta E(0^+) &= B_{\Lambda(\Lambda^4\text{He}, 0^+)} - B_{\Lambda(\Lambda^4\text{H}, 0^+)} \\ &= 233 \pm 92 \text{ keV} \Rightarrow \text{(CSB)} \\ &= 160 \pm 140 \pm 100 \text{ keV} \Rightarrow \text{(CSB*)}\end{aligned}$$

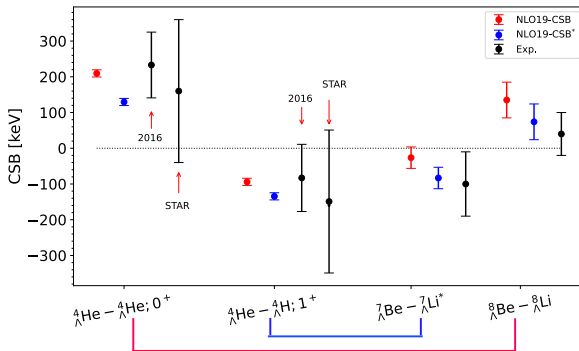
* STAR Collaboration PLB 834 (2022)

	NLO19(500)	CSB	CSB*
a_s^{Ap}	-2.91	-2.65	-2.58
a_s^{An}	-2.91	-3.20	-3.29
δa_s	0	0.55	0.71
a_t^{Ap}	-1.42	-1.57	-1.52
a_t^{An}	-1.41	-1.45	-1.49
δa_t	-0.01	-0.12	-0.03

→ $\delta a(^1S_0)$ increases while $\delta a(^3S_1)$ decreases

→ How does the STAR measurement affect the predictions of CSB in A=7,8 multiplets ?

Impact of Star measurement on CSB in A=7,8



NN:SMS N⁴LO+(450)

+YN: NLO13,19(CSB)

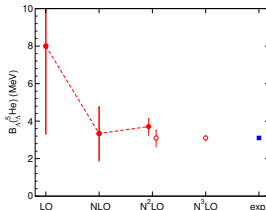
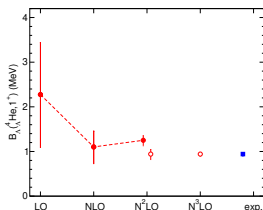
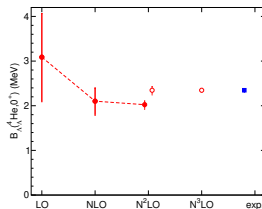
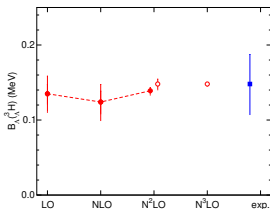
$$\lambda_{NN} = 1.6 \text{ fm}^{-1}$$

$$\lambda_{YN}^{opt} = 0.823 \text{ fm}^{-1}$$

$$B_{\Lambda}({}^5_{\Lambda}\text{He}, \lambda_{YN}^{opt}) = B_{\Lambda}({}^5_{\Lambda}\text{He}, 3\text{BFs})$$

- CSB* fit predicts reasonable CSB in both A=7 and A=8 systems
- CSB in A=4(0⁺) and A=8, and in A=4(1⁺) and A=7 are correlated

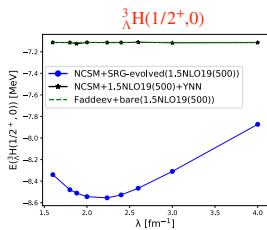
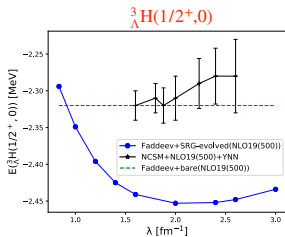
Estimate of truncation error



- filled symbols: actual estimates for SMS LO, NLO, N²LO YN potentials
- opaque symbols: anticipated results when YNN 3BFs are included
- $^3\Lambda$ H: used as constraint! Conclusions on true uncertainty are not possible
- Q : $Q = M_{\pi}^{\text{eff}} / \Lambda_b \approx 200/650$ (Epelbaum et al., for light nuclei)

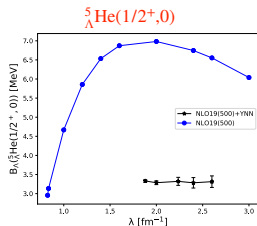
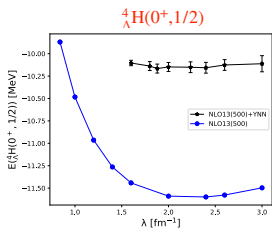
A=3-5 Λ hypernuclei with SRG-induced YNN force

Hoai Le, EPJ Web Conf. 271 (2022) 01004 (HYP2022)



NN:SMS $\text{N}^4\text{LO}+(450)$

3N: $\text{N}^2\text{LO}(450)$



\Rightarrow contributions of SRG-induced YNN forces are negligible

(R. Wirth, R. Roth, PRL 117 (2016); PRC 100 (2019))

Two-particle correlation function

Koonin-Pratt formalism

Correlation function for identical particles ($\Lambda\Lambda$, $\Sigma^+\Sigma^+$, ...)

$$C(k) \simeq 1 - \frac{1}{2} \exp(-4k^2 R^2) + \frac{1}{2} \int_0^\infty 4\pi r^2 dr S_{12}(\mathbf{r}) \left[|\psi(k, r)|^2 - |j_0(kr)|^2 \right]$$

Correlation function for non-identical particles (Λp , $\Xi^- p$, $K^- p$, ...)

$$C(k) \simeq 1 + \int_0^\infty 4\pi r^2 dr S_{12}(\mathbf{r}) \left[|\psi(k, r)|^2 - |j_0(kr)|^2 \right]$$

Extension to multi-channel problem

$$|\psi(k, r)|^2 \rightarrow \sum_{\beta} \omega_{\beta} |\psi_{\beta\alpha}(k_{\alpha}, r)|^2$$

$$C_{\alpha}(k_{\alpha}) \simeq 1 + \sum_{\beta} \omega_{\beta} \int_0^\infty 4\pi r^2 dr S_{\beta}(\mathbf{r}) \left[|\psi_{\beta\alpha}(k_{\alpha}, r)|^2 - \delta_{\beta\alpha} |j_0(k_{\alpha} r)|^2 \right]$$

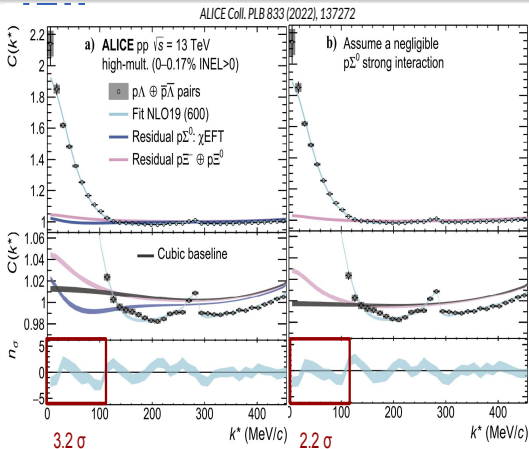
\sum_{β} ... over all two-body intermediate states that couple to α

ω_{β} ... weights of the various components (often put to 1)

assume a static and spherical Gaussian source with radius R :

$$S_{12}(\mathbf{r}) = \exp(-r^2/4R^2)/(2\sqrt{\pi}R)^3$$

Λp momentum correlation function at $\sqrt{s} = 13$ TeV



ALICE Collaboration: pp collisions at 13 TeV (S. Acharya et al., PLB 833 (2022) 137272)

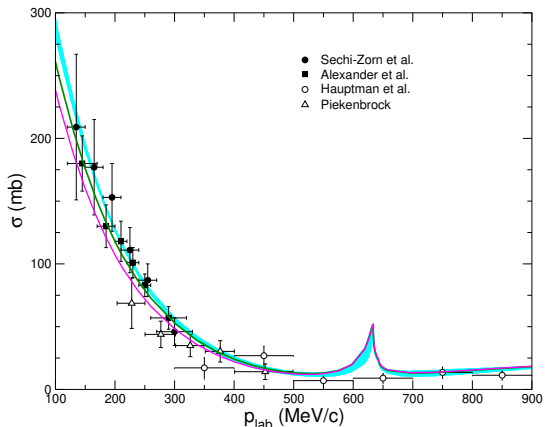
⇒ prediction of NLO19 is fairly well in line with data

sensitive to the assumption about the contribution of the $\Sigma^0 p$ feed-down

Λp : Slightly weaker energy dependence? Reduced overall strength?

Mihaylov & Gonzalez (EPJC 83 (2023) 590): $a_t = -1.15 \pm 0.07$ fm

Reduced strength of the ΛN interaction in the 3S_1 state



NLO19(600) is used as starting point

$$\begin{aligned} a_t = -1.41 \text{ fm} &\Rightarrow a_t = -1.30 \text{ fm} \quad [-1.15 \text{ fm}] \\ \chi^2 = 2.09 &\Rightarrow \chi^2 = 3.45 \quad [7.14] \text{ (Sechi - Zorn)} \\ \chi^2 = 1.29 &\Rightarrow \chi^2 = 1.15 \quad [6.00] \text{ (Alexander)} \\ n_\sigma = 3.2 &\Rightarrow n_\sigma = 2.2 \text{ (with residual } \Sigma^0 p \text{ interaction included)} \end{aligned}$$

(reduction in the 1S_0 state is limited since we want/need the $^3\Lambda\text{H}$ to be bound!)

$SU(3)$ structure of contact terms for BB

$SU(3)$ structure for scattering of two octet baryons \rightarrow

$$8 \otimes 8 = 1 \oplus 8_a \oplus 8_s \oplus 10^* \oplus 10 \oplus 27$$

BB interaction can be given in terms of LECs corresponding to the $SU(3)_f$ irreducible representations: C^1 , C^{8_a} , C^{8_s} , C^{10^*} , C^{10} , C^{27}

	Channel	l	V_α	V_β	$V_{\beta \rightarrow \alpha}$
$S = 0$	$NN \rightarrow NN$	0	–	$C_\beta^{10^*}$	–
	$NN \rightarrow NN$	1	C_α^{27}	–	–
$S = -1$	$\Lambda N \rightarrow \Lambda N$	$\frac{1}{2}$	$\frac{1}{10} (9C_\alpha^{27} + C_\alpha^{8_s})$	$\frac{1}{2} (C_\beta^{8_a} + C_\beta^{10^*})$	$-C^{8_{sa}}$
	$\Lambda N \rightarrow \Sigma N$	$\frac{1}{2}$	$\frac{3}{10} (-C_\alpha^{27} + C_\alpha^{8_s})$	$\frac{1}{2} (-C_\beta^{8_a} + C_\beta^{10^*})$	$-3C^{8_{sa}}$
	$\Sigma N \rightarrow \Sigma N$	$\frac{1}{2}$	$\frac{1}{10} (C_\alpha^{27} + 9C_\alpha^{8_s})$	$\frac{1}{2} (C_\beta^{8_a} + C_\beta^{10^*})$	$C^{8_{sa}}$
	$\Sigma N \rightarrow \Sigma N$	$\frac{3}{2}$	C_α^{27}	C_β^{10}	$3C^{8_{sa}}$

$$\alpha = {}^1S_0, {}^3P_0, {}^3P_1, {}^3P_2, \quad \beta = {}^3S_1, {}^3S_1 - {}^3D_1, {}^1P_1$$

No. of contact terms: LO: 2 (NN) + 3 (YN) + 1 (YY)

NLO: 7 (NN) + 11 (YN) + 4 (YY)

(No. of spin-isospin channels in NN+YN: 10 $S = -2, -3, -4$: 27)

Contact terms for YN – partial-wave projected

spin-momentum structure up to **NLO**

$$V(^1S_0) = \tilde{C}_{1S_0} + C_{1S_0}(p^2 + p'^2)$$

$$V(^3S_1) = \tilde{C}_{3S_1} + C_{3S_1}(p^2 + p'^2)$$

$$V(\alpha) = C_\alpha p p' \quad \alpha \hat{=} ^1P_1, ^3P_0, ^3P_1, ^3P_2$$

$$V(^3D_1 - ^3S_1) = C_{3S_1-3D_1} p'^2$$

$$V(^1P_1 - ^3P_1) = C_{1P_1-3P_1} p p'$$

$$V(^3P_1 - ^1P_1) = C_{3P_1-1P_1} p p'$$

(antisymmetric **spin-orbit force**: $(\vec{\sigma}_1 - \vec{\sigma}_2) \cdot (\vec{q} \times \vec{k})$)

- $\tilde{C}_\alpha, C_\alpha$... low-energy constants (**LECs**)
- need to be **fixed** by a fit to (NN , YN , ...) **data**

chiral YN potential up to N^2LO

Reinert, Krebs, Epelbaum, EPJA 54 (2018) 86

- Λ : 350 – 550 MeV ... 450 MeV give best results

YN interaction: approximate **SU(3) flavor symmetry**

$m_\pi = 138$ MeV, $m_K = 495$ MeV, $m_\eta = 547$ MeV

want to keep effects from **SU(3) symmetry breaking** generated by the **single-meson exchange** contributions

$\Rightarrow \Lambda$: 500 – 600 MeV

two-meson exchange contributions: πK , $\pi\eta$, ... are represented by **contact terms**

\Rightarrow some **SU(3) symmetry breaking** in the YN LECs

(S. Petschauer, N. Kaiser, NPA 916 (2013) 1)

$$V^{cont} = \tilde{C}^\alpha + C^\alpha(p^2 + p'^2) + C^X(m_K^2 - m_\pi^2)$$

\tilde{C}^α , C^α , $\alpha = \{27\}, \{10^*\}, \{10\}, \{8_S\}, \{8_A\}, \{1\}$, ... "regular" contact terms in SU(3) **chiral EFT**

C_i^X : **SU(3) symmetry breaking contact terms**

(in NLO13 and NLO19 ΛN - ΣN potentials we assumed that $C_i^X = 0$)

chiral YN potential up to $N^2\text{LO}$

adopt the framework of Reinert, Krebs, Epelbaum, EPJA 54 (2018) 86:

“Semilocal momentum-space regularized (SMS) chiral NN potentials”

- employ a regulator that minimizes artifacts from cutoff Λ

nonlocal cutoff $(\vec{q} = \vec{p}' - \vec{p})$

$$V_{1\pi}^{\text{reg}} \propto \frac{e^{-\frac{p'^4 + p^4}{\Lambda^4}}}{\vec{q}^2 + m_\pi^2} \rightarrow \frac{1}{\vec{q}^2 + m_\pi^2} \left[1 - \frac{p'^4 + p^4}{\Lambda^4} + \mathcal{O}(\Lambda^{-8}) \right]$$

local cutoff:

$$V_{1\pi}^{\text{reg}} \propto \frac{e^{-\frac{\vec{q}^2 + m_\pi^2}{\Lambda^2}}}{\vec{q}^2 + m_\pi^2} \rightarrow \frac{1}{\vec{q}^2 + m_\pi^2} - \frac{1}{\Lambda^2} + \frac{\vec{q}^2 + m_\pi^2}{\Lambda^4} + \dots$$

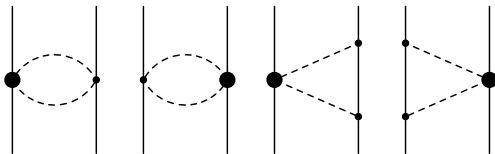
does not affect long-range physics at any order in the $1/\Lambda^2$ expansion

applicable to 2π exchange too:

$$V_{2\pi} = \frac{2}{\pi} \int_{2m_\pi}^{\infty} \mu d\mu \frac{\rho(\mu)}{\vec{q}^2 + \mu^2} \rightarrow V_{2\pi}^{\text{reg}} = e^{-\frac{\vec{q}^2}{2\Lambda^2}} \frac{2}{\pi} \int_{2m_\pi}^{\infty} \mu d\mu \frac{\rho(\mu)}{\vec{q}^2 + \mu^2} e^{-\frac{\mu^2}{2\Lambda^2}} + \dots$$

chiral ΥN interaction up to $N^2\text{LO}$

- no new BB contact terms (no additional LECs) enter
- sub-leading meson-baryon vertices enter at $N^2\text{LO}$



πN : fixed from calculating pion-nucleon scattering in chiral perturbation theory

sub-leading (up to Q^2) πN LECs: $c_1 = -0.74$; $c_3 = -3.61$; $c_4 = 2.44$

(cf. RKE 2018)

$\pi\Lambda, \pi\Sigma, \pi\Lambda \leftrightarrow \pi\Sigma$:

involve additional LECs: $d_1, d_2, d_3, b_D, b_F, b_0, b_1, b_2, b_3, b_4$

fixed from resonance saturation via decuplet baryons ($\Sigma^*(1385)$)

(cf. Petschauer et al., NPA 957 (2017) 347)

Coupled channels Lippmann-Schwinger Equation

$$T_{\rho' \rho}^{\nu' \nu, J}(p', p) = V_{\rho' \rho}^{\nu' \nu, J}(p', p) + \sum_{\rho'', \nu''} \int_0^\infty \frac{dp'' p''^2}{(2\pi)^3} V_{\rho' \rho''}^{\nu' \nu'', J}(p', p'') \frac{2\mu_{\rho''}}{p^2 - p''^2 + i\eta} T_{\rho'' \rho}^{\nu'' \nu, J}(p'', p)$$

$$\rho', \rho = \Lambda N, \Sigma N \quad (\Lambda\Lambda, \Xi N, \Lambda\Sigma, \Sigma\Sigma)$$

LS equation is solved for **particle channels** (in **momentum space**)

Coulomb interaction is included via the **Vincent-Phatak method**

SMS: A nonlocal **regulator** is applied to the **contact terms**

$$V_{\rho' \rho}^{\nu' \nu, J}(p', p) \rightarrow f^\Lambda(p') V_{\rho' \rho}^{\nu' \nu, J}(p', p) f^\Lambda(p); \quad f^\Lambda(p) = e^{-(p/\Lambda)^2}$$

consider values $\Lambda = 500 - 600$ MeV [guided by NN , achieved χ^2]

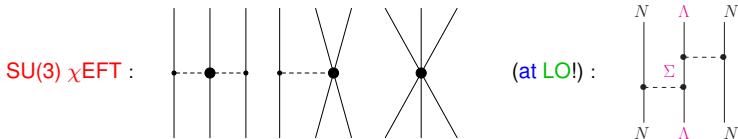
NLO19 (NLO13): A a nonlocal **regulator** is applied to the whole potential

$$V_{\rho' \rho}^{\nu' \nu, J}(p', p) \rightarrow f^\Lambda(p') V_{\rho' \rho}^{\nu' \nu, J}(p', p) f^\Lambda(p); \quad f^\Lambda(p) = e^{-(p/\Lambda)^4}$$

with values $\Lambda = 500 - 650$ MeV

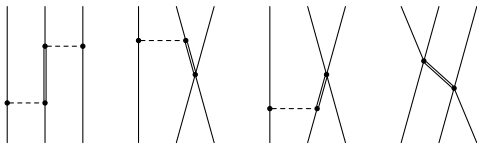
Three-body forces

- $SU(3)$ χ EFT 3BFs at N^2LO (S. Petschauer et al., PRC 93 (2016) 014001)
- however, 5 LECs for ΛNN 3BF alone! (only 2 LECs for NNN)



solve coupled channel (ΛN - ΣN) Faddeev-Yakubovsky equations:
 \Rightarrow ΛNN "3BF" from Σ coupling is automatically included

- 3BFs with inclusion of decuplet baryons (S. Petschauer et al., NPA 957 (2017) 347)



estimate ΛNN 3BF based on the $\Sigma^*(1385)$ excitation (appear at NLO!)

- only 1 LEC for ΛNN (2 LECs for $Y NN$ in general)